Τ	Thursday, 17 January 2019
2	(10.00 am)
3	(Proceedings delayed)
4	(10.08 am)
5	LADY SMITH: Good morning. We turn to further oral
6	evidence. Last night you promised me two witnesses
7	today, Mr Peoples, and I've been told that two have
8	arrived.
9	MR PEOPLES: Indeed. The first witness this morning to give
LO	oral evidence is Kate Roach.
L1	KATE ROACH (sworn)
L2	LADY SMITH: Please sit down and make yourself comfortable.
L3	It looks as if you're in a good position for the
L 4	microphone. If I can just at the outset ask you to make
L5	sure that your voice is picked up by it: we need you to
L 6	do that.
L7	I'll hand over to Mr Peoples and he'll explain what
L8	happens next.
L 9	Questions from MR PEOPLES
20	MR PEOPLES: Good morning.
21	A. Good morning.
22	Q. Do you have any objection to me calling you Kate?
23	A. None at all.
24	Q. Thank you. Can I just explain by way of introduction,
2.5	although I think you might be familiar with our

- 1 processes, in front of you there is a red folder which
- 2 contains a statement that's been provided by Barnardo's
- 3 to the inquiry. I'll just give the reference:
- 4 BAR.001.004.9625. You're free to use that statement at
- 5 any point if it assists you in giving evidence. You'll
- 6 also seen in front of you there's a screen that's
- 7 brought up the statement also. You can use that as well
- 8 if it's easier for you.
- 9 So far as today is concerned, my focus will be on
- 10 the issue of aftercare and, in particular, the service
- 11 known as Making Connections, and I'll be asking you some
- 12 questions about that shortly.
- I think you can perhaps just confirm for me that you
- 14 did contribute some parts of the statement that's before
- 15 you.
- 16 A. Yes, indeed, yes.
- Q. Can I just take some preliminary information. What is
- 18 your current post within Barnardo's?
- 19 A. I'm the service manager of the Making Connections
- 20 service.
- 21 Q. For how long have you been employed by Barnardo's?
- 22 A. This is my 25th year in Barnardo's.
- 23 Q. During that period of employment have you been concerned
- 24 with aftercare issues and services on behalf of the
- 25 organisation?

- 1 A. Yes. I joined Barnardo's in what was then the aftercare
 2 service in late 1994.
- Q. Did that involve you being based in London, in headquarters?
- 5 A. Yes. We were initially based at the head office building in Barkingside.
- 7 Q. Was there at that time an aftercare department?
- A. Yes. There has been an aftercare department since the days of Dr Barnardo. It is actually the -- the evolution, the very same department that is described in the statement which provided support for the young people after they left the homes.
- Q. When you joined Barnardo's in 1994, did you take over that department?
- No. I joined initially as the deputy head of aftercare 15 Α. and I was brought in at that time because the 16 17 social work side of the service had expanded because 18 we were moving towards -- well, there was an ongoing 19 increase in the provision of -- I won't say access to 20 records, but at that stage access to information. 21 Barnardo's was on the verge of taking the decision to 22 have what we described as open access, which meant that rather than a summary of a Barnardo's adult, a summary 23 24 of their information, written out by a social worker,

they would be able to get direct access and see the

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- actual files that were written about them. That change took place in 1995.
- Q. I'll maybe ask you a little more about that in due course if I can.
- So you started as a deputy or the number two in the department, in 1994?
- 7 A. That's right.
- 8 Q. And it was a time of change --
- 9 A. Huge change.
- 10 Q. -- as you've explained in terms of the way that
 11 information was to be released or be available?
- 12 A. Yes.

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- Q. Did there come a point when you became the head of the aftercare service or department?
- A. That's correct. I took over as the service manager in

 2007. That was when the aftercare department merged

 with another department in Barnardo's, which was

 providing a very similar service but to people who had

 been adopted through Barnardo's. In many ways, the work

 was very similar, but the legislative framework around

 it was quite different.
 - The work with adopters is governed by adoption

 legislation and we were governed really only by the Data

 Protection Act at that stage. But the two services

 merged and that's when I applied for the post of service

- 1 manager and was successful. That's when we changed our
 2 name to Making Connections.
- 3 Q. In 2007?
- 4 A. Yes.
- Q. I haven't yet asked you, but can you give us a very brief resumé of your professional qualifications and employment background before you joined Barnardo's?
- A. Yes. After I did my first degree, which was not in

 a social care subject, I started in residential

 childcare up in Derbyshire, where I lived. That was in

 late 1978.
- Then from 1980 to 1982 I went on a seconded CQSW course; that was down in London.
- Having qualified as a social worker and with

 a masters degree, I returned to Derbyshire County

 Council, and worked with them for another four years,

 and in 1986, we moved as a family down to London and so

 that's when I changed jobs and I joined Lambeth, London

 Borough of Lambeth, and worked in adoption and fostering

 until I took the Barnardo's job in 1994.
- 21 Q. If I can take you, with that introduction, to the
 22 statement itself. I think that we can perhaps turn to
 23 page 66 of the statement, which is on, in our numbering,
 24 page 9690, where I think that you -- there is a heading
 25 there "Aftercare and Making Connections". I think that

basically the matters you're going to tell us about today are contained in that section from page 66 through to page 70, paragraphs 239 to 258 of the statement.

If I can ask you a little bit about that. I think it falls into two parts, essentially. One is you seek to give us some information about the position historically regarding preparation for leaving care and support and assistance for care leavers. Then you tell us, I think, in the latter part of that section a bit more about the Making Connections service and how it operates. So we can maybe deal with it in that way today if we can.

If we could begin -- and I think at 239 you do begin to set out the historical position regarding preparation for leaving care and the support and assistance that was given at the point of leaving care, and indeed after the point of leaving care. So you're trying to cover all matters; is that correct?

A. Yes, that's right.

20 Q. The information you're able to give or the general
21 impressions you set out in this part of the statement,
22 are these based essentially on your knowledge of the
23 records that you've had to look at over the years from
24 1994 through to indeed the present day, relating to
25 children in the care of Barnardo's?

- 1 A. Yes. Everything that we know, really, we have gleaned
- 2 from the records and from talking to people. There is
- 3 no single story for any child who grew up in Barnardo's.
- 4 There is a massive amount of variation, but there are
- 5 certain common threads that go through it, and in
- a sense it's those common threads that have been
- 7 recorded here.
- 8 Q. Do you feel, so far as the records go, not just the
- 9 children's files but perhaps records more generally,
- do you have a good working knowledge of them, do you
- 11 think?
- 12 A. Yes. I don't have as good a working knowledge as my
- 13 colleagues who actually do the work on a day-to-day
- basis, but I manage them and I have done direct work
- with people too.
- Q. So far as Scotland is concerned -- and we've heard
- 17 evidence about this -- while Barnardo's was founded
- in the 19th century, the Scottish operations really took
- 19 off during the war, with evacuation centres and
- 20 subsequently various residential homes in various parts
- of the country.
- 22 A. Yes.
- 23 Q. You tell us a little bit in the statement about what was
- 24 said on the matter of aftercare in the Barnardo Book.
- 25 I think the first one appeared in 1944 --

1 A. Yes.

- 2 Q. -- and the second edition in 1955.
- 3 A. Correct.
- Q. Can you just tell us, just summarise, what the book was saying on that matter, if it's possible to do so in
- a few sentences?
 - A. I think what it illustrates is that Barnardo's was completely committed to the ongoing support of the young people, that it wasn't just a question of letting a child loose when they had grown out of the homes.

In fact, in the earlier days when Barnardo's had a legal responsibility for the young people, in other words had parental responsibility before the 1948 Act, our legal responsibility went up to the age of 21, and Barnardo's took that very seriously and did their best to support the young people. So what's laid out in the Barnardo's Book is clear guidance as to how that should be done and what the objectives of -- the support objectives were.

Having said that, I think the experience of each young person was very different. We meet people today who are quite surprised to find out that Barnardo's continued to support them, helped them get lodgings, helped them get the jobs, used to visit their employee, because they don't remember it that way. Sometimes they

- will say to us, for example, "Oh, that's who that was,
- 2 I didn't know he came from Barnardo's". So they were
- 3 aware that there was somebody who was taking an
- 4 interest, but didn't necessarily connect them with
- 5 Barnardo's.
- 6 Some young people continued to stay in touch with
- Barnardo's, sometimes for positive reasons, because they
- 8 felt that kind of connection and they felt there was
- 9 some sort of almost parental affiliation there. For
- 10 others, their reasons for keeping in touch were perhaps
- 11 less positive, that they were always in trouble, they
- were often getting thrown out of lodgings and losing
- jobs, and needed ongoing support to keep getting them
- 14 back on their feet again.
- 15 Again, a wide variety of experiences.
- Q. But those that fell into the latter category would be
- 17 a significant number, is that correct, that would
- 18 require support?
- 19 A. It's very difficult to say. I mean, yes, we have nearly
- 20 400,000 records and we're seeing upward of -- we're
- 21 seeing about 200 people a year overall. Every
- 22 experience is different. But, yes, there were
- a significant number who would struggle.
- Q. Are you able to give me figures for Scotland or
- am I asking too much of you?

- 1 A. I don't have figures for Scotland.
- Q. You're talking about 400,000 records over what period?
- 3 A. From the beginning.
- 4 Q. From the 1870s or thereabouts through to the present
- 5 day?
- 6 A. Yes.
- 7 Q. And that's across the UK operations as a whole?
- 8 A. Yes, and it includes the adoption records as well.
- 9 Q. Perhaps the point you were making about the attitude of
- 10 Barnardo's -- I think that you'll be aware that
- 11 Professor Abrams, who gave evidence this week, prepared
- 12 a draft report and gave some evidence about some
- 13 conclusions she drew from a more limited review of the
- 14 records. One thing she did pick out, and I don't need
- to take you to it, I'll just give the reference:
- 16 INQ.001.004.0260. I think it is -- it was a statement
- 17 by the regional executive officer of Barnardo's in 1963.
- 18 I quote:
- 19 "When the older boys and girls leave our homes,
- there is never any question of them leaving our care."
- 21 So does that perhaps capture what was understood to
- 22 be the continuing responsibility for those that had been
- in the direct care?
- A. Yes, absolutely. That's absolutely the case.
- 25 If I can bring it forward a bit into more recent

times, I think the existence of aftercare and then
Making Connections is really indicative of the ongoing
commitment that Barnardo's feels.

It's very different now, what we're able to provide is more limited because the majority of our resources have to go to the work that we're doing with children and families today. But there is undoubtedly -- Barnardo's has continued that sort of ongoing commitment.

Children were told at the time that they belonged to the Barnardo family. That was a message that was repeated to them. Contrary to the way we would work today, that was often told to them to sort of reassure them that they didn't have to worry about whatever difficult past they'd come from any more, that they were now safe in the care of Barnardo's, and that is the message that they were given. Really, the aftercare service, through the ages, has been the place where that ongoing support has happened.

- Q. When we use the term "aftercare" here, I suppose it breaks into three parts. In one sense there's a stage of preparation for leaving care.
- 23 A. Yes.

Q. There's the point of leaving care itself and what happens then.

- 1 A. Yes.
- 2 Q. And then the subsequent post-care period, particularly
- 3 the immediate period.
- 4 A. Yes.
- 5 Q. Which is no doubt of great significance to
- a care-leaver.
- 7 A. Yes, which for a relatively small number of people has
- been a lifetime's ongoing commitment in one form or
- 9 another.
- 10 Q. In this inquiry generally, care-leavers, some of them,
- 11 have said that they didn't necessarily feel well
- 12 equipped when they left care for the realities of life
- in the big wide world, even with some element of
- 14 support. Is that in any sense something that surprises
- 15 you based on your knowledge?
- A. No, it doesn't surprise me at all. It was no different
- in Barnardo's than anywhere else in residential
- 18 childcare. When you raise a child in a children's home,
- 19 it's very difficult, particularly in the larger homes.
- 20 How do you get across to them what the realities are of
- 21 actually living and shifting for yourself in every small
- 22 detail?
- 23 Q. I suppose one thing that has come out is that to a large
- 24 extent in care settings, particularly historically,
- a lot was done for the children. They had a structure,

- they didn't have to think about things like money or what they did next or how they did things.
- 3 A. That's correct.

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- Q. Was it any different in Barnardo's, at least historically?
- A. No, I don't think Barnardo's differed from anywhere else, no.
- Q. Is it possible from the historical records to ascertain how much preparation was done in relation to these practical matters of equipping you with life skills?
 - A. Well, compared with what we try to do for young people today, very little. But the expectation was that a young person would go into employment, perhaps if they were fortunate an apprenticeship, but by and large just a job with a local firm. And their accommodation would be lodgings and the expectation of the people providing those lodgings would be that they would provide everything that the young person needed.

The issue of finance was quite significant and the young person's financial situation was monitored quite closely by the aftercare department. But landladies would often make contact with Barnardo's and say, you know, "He's ruined his only good pair of trousers and hasn't got enough money to buy himself any new ones, can you help him out?"

- They had a very sort of, if you like, by today's

 standards, gentle introduction to coping with their

 finances because they were in lodgings which were being
- Q. So the commitment onwards was, well, don't worry about things, just as we looked after you in care, we'll find you a job, we'll find you a place to stay, if you need some money to buy something we will be there to help you. Is that the way --
- 10 A. I think so, and you can learn slowly, in due course -11 because we're talking about 15, 16, 17-year-olds, you
 12 can learn slowly and gradually from the outside world.
- Q. I suppose these youngsters who left care historically -we're used to people leaving school at 16 or even later,

 17, 18, but no doubt historically the school-leaving age
 was much lower.
- 17 A. It was 14. But the young people were encouraged to go
 18 into some kind of training.
- 19 Q. On leaving or before?

paid for.

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A. Before. Before leaving. For the boys there was the
trade training school in Hertfordshire. For the girls
there were various different types of training, eg in
the very early days domestic service -- Barnardo's girls
were quite highly prized in some of the best houses in
England -- childcare, some sort of secretarial or

- 1 administrative training.
- Q. Just to explore that a little bit if I may. Before,
- 3 say, a boy or a girl left Barnardo's, the organisation,
- 4 they might undergo that type of training at a particular
- 5 place to equip them for taking up employment or a post
- 6 when they left?
- 7 A. That's correct. When the official school leaving age
- 8 was -- it was 14 in the very early days, then went up to
- 9 15 and was only changed to 16 in the ...
- 10 Q. 1960s?
- 11 A. Late 1960s, early 1970s. I think.
- 12 Q. It was 14 during the war. It may have been 13 before
- 13 then.
- 14 A. Yes. It was slightly different once the school-leaving
- age went to 16 because then that kind of training and
- 16 preparation for leaving could happen in conjunction with
- the schools and so on.
- 18 Q. Just picking up on the point of perhaps pointing in the
- 19 direction of more specialised training in a training
- 20 centre, for example -- and I think there's been mention
- 21 of them in the statement and no doubt elsewhere in the
- 22 evidence we've heard. Were these training centres --
- there's a naval college or something that I can think
- 24 of --
- 25 A. Two naval schools.

- 1 Q. Were all these centres based in England, largely?
- 2 A. Largely. The issue of child migration is a whole
- 3 separate issue. When we migrated children to Canada,
- 4 they would be trained when they got there in mainly
- 5 farming and agricultural processes.
- 6 Q. If we leave that to one side. I have to say -- and you
- 7 maybe don't know this -- we'll be having a study looking
- 8 at child migration and no doubt that will feature, that
- 9 process.
- 10 If we're looking at the situation where there's no
- migration beyond the UK but there is a form of migration
- from Scotland to England to attend training college,
- does it follow from what you've said, before the person
- 14 left the care setting or at least the establishments run
- by Barnardo's, they could be in Scotland, but then if
- they wanted to go to naval college, they would have to
- 17 uproot and go down to the college in England?
- 18 A. Yes, that's right. That's where the -- all the training
- 19 establishments were in England.
- 20 Q. So although Barnardo's established a presence during the
- 21 war and after the war in Scotland, they didn't at the
- 22 same time set up training centres as such in Scotland to
- your knowledge?
- 24 A. No.
- 25 Q. I don't know if you're able to help me, but would that

- 1 mean that if a child or young person was brought up in
- Scotland for most of their life in a Barnardo's home,
- 3 they might then go to England to train for a period,
- 4 leave care, would they stay in England or would they
- 5 come back to Scotland? Do you have any kind of feel for
- 6 what the situation was?
- 7 A. I don't think I can honestly say that I do have a sense
- 8 of what was the normal pattern, no.
- 9 Q. That's quite a significant move, even before leaving
- 10 care, is it not, for some children and young persons?
- 11 A. Well, yes, except that the training establishments were
- as -- they were part of Barnardo's. You were still
- a Barnardo's boy when you went down to Goldings. In
- fact, the sort of Barnardo's ethos was even stronger in
- 15 places like Goldings and the sea schools. So you were
- still very much helped.
- 17 Q. I suppose it might be said against that that you're
- 18 uprooted from your normal environment where you're
- 19 settled, in perhaps a rural location, then you have to
- 20 go to not just to a city in Scotland but to somewhere
- 21 down south, and that can be quite a challenging
- 22 experience.
- 23 A. Yes, indeed. Yes, it would be.
- Q. Is it possible, from the historical records, to
- 25 ascertain how much say in real terms a leaver had

- in relation to his or her future after leaving care on

reaching the school age or as they were approaching it?

- 3 How much in reality did they have a say in this process?
- A. They were spoken with. There was discussion; I think
- 5 the records do show that. How much actual sort of power
- a young person felt they had to say, "No I don't want to
- 7 do that, I want to do this instead", or how much
- 8 knowledge they would have of the range of alternatives,
- 9 I don't know. But probably not a great deal.
- 10 Q. So in a sense, in real terms, there might have been
- 11 quite a limited choice in terms of what they could do
- and how much influence they could bring to bear on the
- process of finding accommodation and work?
- 14 A. They would be -- yes, they would be reliant on the
- aftercare officers to help them in that process, and
- they wouldn't have any knowledge themselves of what the
- 17 options were.

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- Q. We've sometimes heard in evidence, I think, that the
- 19 person who was in overall charge of an establishment who
- 20 had connections with employers, who might take on
- 21 residents, could have some considerable influence on
- 22 whether they were employed or not employed because they
- 23 would be asked for their views as to the suitability for
- the work or things of that nature. So they could
- 25 heavily influence whether a person went in one direction

- 1 or another.
- 2 A. They could. Yes, the knowledge and influence of the
- 3 aftercare officer was quite considerable.
- 4 LADY SMITH: Would that really be any different from what
- 5 would happen in a home setting with parents encouraging
- 6 children to go in a particular direction on leaving
- 7 school and not explaining what the options are and
- 8 telling them that was the best thing to do for them?
- 9 A. There is a good argument for that. Young people at 15,
- 10 16, really don't have the same range of awareness of
- 11 their options. It's a very unusual teenager who knows
- "I want to do that and that's where I'm going".
- 13 LADY SMITH: Certainly historically, I'm sure we can see
- 14 countless of examples of people having been more or less
- told, particularly by their father: this is what you're
- doing when you leave school, end of story.
- 17 A. Yes, I'm sure that's so.
- 18 LADY SMITH: Mr Peoples.
- MR PEOPLES: But I suppose while that may be the situation,
- 20 many young people, while they may not know all the
- 21 options, can sometimes say, "I know that's what you want
- 22 to do but this is what I'm going to do", so they do it.
- 23 That doesn't seem to be the picture from the Barnardo's
- leavers: they went in the direction they were guided to.
- 25 A. They did initially go in the direction they were guided

- 1 to, but we also have examples from the records of young
- 2 people who voted with their feet. I said earlier that
- 3 some young people kept in touch with Barnardo's for
- 4 months, years -- many years in some cases -- but others
- 5 didn't. Sometimes young people would just move and
- 6 Barnardo's would make efforts to try and find out where
- 7 they were. Sometimes they would catch up with them,
- 8 occasionally they never really did. So yes, young
- 9 people did, as young people always do, decide, "No, I'm
- 10 making my own decisions here".
- 11 Q. So far as their destinations were concerned, again
- 12 harking back to Professor Abrams' evidence, she
- indicated at least from the review she conducted that
- 14 typical destinations for care-leavers across the
- organisations we've been looking at might be places like
- 16 the armed forces. Would that be true of Barnardo's?
- 17 A. Certainly, yes.
- 18 Q. Farm work?
- 19 A. Almost the whole range, I think, farm work,
- 20 engineering --
- Q. Domestic service for girls?
- 22 A. Domestic service for girls.
- Q. Nursing, care work?
- A. Childcare work, a few would go into -- would move from
- there into nursing perhaps, yes.

- 1 Q. And in the case of those with nursing care, who go into
- 2 that area, would that also be sometimes with the
- 3 provider themselves, Barnardo's or whoever it might be?
- 4 A. Yes. Some of the Barnardo's care-leavers, as it were,
- 5 would go into Barnardo's own nursery nurse training
- 6 establishments.
- 7 Q. Would it have been uncommon historically for young
- 8 people in Barnardo's to come back and effectively work
- 9 for the organisation, not just in nursing but in other
- 10 capacities like house assistants or care assistants and
- 11 so forth?
- 12 A. It was not unusual. It wasn't widespread, but if you
- think in terms of the kind of -- I hesitate to use the
- 14 word institutionalisation because of its negative
- 15 connotations, but Barnardo's was home, parent, family,
- for many young people who weren't in contact with their
- 17 own birth relatives. So the attraction of coming back
- 18 to work for Barnardo's was quite strong, I imagine, for
- 19 some children.
- 20 Q. I suppose another area maybe -- and I'm thinking of the
- 21 Borders, there's a number of establishments in the
- 22 Borders. Would factories in the Borders historically
- 23 have been a destination for some residents?
- A. I can't say that I'm aware of that, but given proximity
- and patterns, then probably.

- Q. Again, I am trying to get a general picture. Did
 leavers tend to stay in the locality of the home or go
 further afield? Obviously if they were trained, they
 would go to England, or if they had to train they might
- 5 go down south. But generally speaking, did they stay in
- 6 the locality or did they move away?
- A. Again, a variety, but I would think that -- my sense
 is that they ... If there was a particular reason for
 them to move to a different locality -- and that reason
 could easily include the fact that that's where their
 birth family were and they wanted to start to strengthen
 connections with birth family members, then Barnardo's
- would go out of its way to try and find lodgings and jobs for them in that area.
- Q. I think you have given an example of that in the section

 about a person who was temporarily in a hostel or some

 accommodation in Yorkshire and then went to --
- A. Close to her mother, but then chose to come back to the
 area where she'd grown up in Barnardo's after her mother
 sadly died, yes.
- Q. So far as destinations are concerned in terms of what they did, there's clearly two broad categories: they went to do some kind of work --
- 24 A. Mm-hm.
- 25 Q. -- or they maybe undertook some form of vocational

- 1 training?
- 2 A. Yes.
- 3 Q. How many historically undertook higher education?
- 4 A. A very, very small number. Going to university was
- 5 a most unusual thing. We are in contact with
- 6 a gentleman who became a neurosurgeon and was at a later
- 7 stage in his life a member of Barnardo's Council. There
- 8 are people who undertook theological training that we
- 9 know about. There may be others that we simply don't
- 10 know about. But a small number.
- 11 Q. These are a small number?
- 12 A. Yes.
- 13 Q. Is there an explanation for that that you can offer?
- 14 A. Um ... (Pause). Any explanation I can offer would be
- 15 really apocryphal. I remember, for example, being told
- by a woman who had passed the eleven-plus to go to
- 17 grammar school, but when she went to grammar school she
- 18 felt totally unsupported in terms of getting time and
- 19 space to do her homework in the particular home where
- she was.
- There were a small number of children who went to
- 22 grammar school and knowing what we know about the
- 23 system, the education system, at the time, if you didn't
- 24 go to grammar school then further education and higher
- 25 education was really pretty much closed off to you. So

- I think in a sense that's where the decisions were
 essentially made, as they were across the country, for
 kids taking the eleven-plus.
- Q. We've heard evidence that Barnardo's increasingly took
 in children with complex needs, emotional problems,
 behavioural challenges, and so forth. Did that present
 challenges in terms of aftercare and how these children
 fared in life when they left Barnardo's?
- A. Again, it's a varied picture according to where we are 9 10 historically, what we're looking at historically. 11 the early days when Barnardo's were looking after 12 children with disabilities, they would usually, whether 13 it be learning disabilities or physical disabilities, 14 they would most usually move on to another institution when they reached the end of their time in Barnardo's. 15 I use the word "institution" sort of a bit guardedly 16 17 because many establishments were trying quite hard 18 to ... Establishments started out as being fairly institutional, but gradually changed and tried to 19 normalise the lives of people with disabilities. 20

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But in many instances Barnardo's continued to visit those young people when they went into institutions of different types. I can think of a village in Papworth in Cambridgeshire, a sort of community village, and when I first joined Barnardo's, we had aftercare officers

- 1 visiting a number of more vulnerable adults and there
- were a small handful of former Barnardo's children
- 3 living in that village in Cambridge, for example.
- 4 Q. So it wouldn't have been uncommon, given the profile of
- 5 the children that were being taken in, for children to
- 6 move on to other forms of institutional care?
- 7 A. Yes.
- 8 Q. Or, at the very least, not to be capable of independent
- 9 living and requiring some kind of supported living
- 10 arrangement?
- 11 A. Well, that's correct, because that was the social
- 12 context at the time, yes.
- 13 Q. The other institutional setting that maybe comes across
- 14 quite a lot -- and this is a reality, I think, from what
- we know of the prisons population -- is that a high
- 16 proportion of the prison population have a care
- 17 experience.
- 18 A. Yes, indeed, that's true.
- 19 Q. No doubt some have care experience with Barnardo's?
- 20 A. Yes.
- 21 Q. I'm not singling Barnardo's out, but that's a fact,
- isn't it? We know that.
- 23 A. Yes, it's true.
- 24 Q. There's a very high proportion, a disproportionate
- 25 number who have a care background?

- 1 A. Yes.
- 2 Q. Going back to the historical position, was the general
- 3 aim to provide continuing support routinely for the
- first 12 months and thereafter, as required, or if asked
- 5 for? Would that be a broad division?
- 6 A. My sense is that it was longer than 12 months from
- 7 direct reading of the records. But it really depended
- 8 on how well the young person was coping.
- 9 Q. In terms of a more formal arrangement, at least it does
- 10 appear from the evidence that you're giving that at
- least routinely there would be contact in the first
- 12 12 months to ensure that the transition was going well
- or satisfactorily.
- 14 A. Absolutely, yes, initially quite frequent contact,
- 15 I think.
- Q. You also tell us, I think, in this part of the statement
- 17 that there would be a degree of vetting of potential
- 18 employers and accommodation for the leaver.
- 19 A. Yes. That's right, yes. I think probably by today's
- 20 standards we might approach it differently but, yes,
- 21 there were certainly employers that we -- when there
- 22 were problems arising, we took a child out of there and
- 23 we would make a note on the file that we weren't going
- 24 to use that employer again.
- 25 Q. You also mention something that's described as a formal

- 1 farewell --
- 2 A. Yes.
- ${\tt Q.}$ -- that seems to have been part of the process of
- 4 leaving care.
- 5 A. Yes.
- 6 Q. Going back to Professor Abrams' review, I think, again,
- 7 based on her limited records, her draft report was to
- 8 the effect that it really wasn't clear how or if this
- 9 occurred in Scotland. That was one of the things she
- said. But you tell us a little bit about what you've
- 11 been able to glean from records as to what the farewell,
- formal farewell, may have involved. Can you tell us
- what you've managed to learn?
- 14 A. Yes. It usually involved an interview in the office
- with a fairly senior officer in Barnardo's. There would
- 16 be a file on the child in front of the officer and the
- 17 officer would talk to the young person about -- they
- 18 would give them lots of advice about how to live their
- 19 lives, but they would also refer back to the young
- 20 person's file and talk to them about whether it was
- 21 advisable or not to try and make contact with their
- 22 birth family.
- 23 Apocryphally, we hear stories about people being
- 24 told, "You don't have anything to worry about, you come
- from good people", or, "Perhaps it wouldn't be very

- advisable for you to try and make contact with your
- family". Really, those were the two options.
- 3 If there had been ongoing contact with the family
- 4 throughout the child's period in care, then moves would
- 5 be made to kind of make -- enable that young person to
- 6 be back in touch if needed.
- 7 Q. But the formal farewell in that sense is having a sit
- 8 down with someone in a senior position, it's not
- 9 a farewell party or anything of that nature --
- 10 A. No, it's not.
- 11 Q. -- or preparing their goodbyes, talking to those that
- they made friends with and have formed relationships
- 13 with? It wasn't that type of farewell?
- 14 A. No, it's a formal farewell, you know, by the
- organisation and assuring them that they are going to be
- there for them in the future.
- Q. Because the reason I ask that is that in this case study
- as a whole we've heard some evidence from people in care
- 19 who have said that they felt that the process of leaving
- 20 some establishments was quite an abrupt one, that one
- day they were there, the next day they weren't, and they
- 22 weren't really fully prepared and didn't get a chance to
- say all their goodbyes and get used to the idea they
- 24 were leaving. Is there a suggestion that that could
- 25 have been the situation in Barnardo's as much as

- 1 anywhere else?
- 2 A. Yes, I think so. The farewelling process that took
- 3 place was not in the child's home establishment.
- 4 I don't think we have much information from the records
- 5 about what may or may not have taken place in the home
- 6 that the child was leaving. It's quite possible that
- 7 there wouldn't have been very much.
- 8 Q. The other thing which you've said about farewell -- and
- 9 this is perhaps quite a significant matter -- is you're
- 10 suggesting that at that point, there might have been
- 11 a decision to either give or withhold information about
- the family as part of the formal farewell process. It
- was at that point that information might be supplied or
- not supplied. If that be the case, that rather suggests
- that that information wasn't being provided during the
- 16 period of care.
- 17 A. The information on the whereabouts of family would not
- 18 necessarily have been provided during the child's time
- in care. That would depend on a number of different
- 20 factors, not least of which would have been what were
- 21 the circumstances that brought the child into care in
- the first place.
- 23 Another one would be how much contact had taken
- 24 place between the birth family and the child while they
- 25 were in care. Another would be the child's inclination

- and curiosity about who were their family and did they
- or didn't they want to be in touch. So again, a raft of
- 3 different options.
- 4 Q. Can I take one possible scenario? Say a child at an
- 5 early age was placed with Barnardo's because the mother
- 6 was unmarried --
- 7 A. Yes.
- 8 Q. -- would there have been situations where that
- 9 information would first have been given to the child on
- 10 leaving Barnardo's? Is that possible or would they have
- 11 been told before then about how they had come to be in
- Barnardo's? Can you tell from the records?
- 13 A. They would not have ... Yes, we don't have the sense
- 14 that they would have been told routinely whether or not
- they were illegitimate. The term "illegitimate" is one
- that we're totally comfortable with these days and it
- 17 matters not a jot, but back then, the use of the word
- "illegitimate" was fairly derogatory.
- 19 Q. I don't mean to use that term, I'm more saying: this is
- 20 how you came to be here, every child has a birth mother,
- 21 and --
- 22 A. Yes, children were not routinely told, but depending on
- if there was contact from birth relatives, then the
- 24 young person would have been talked through that contact
- and that might include some information about the

- 1 circumstances that brought them into contact.
- 2 Q. The other point that crosses my mind is if you're
- 3 leaving care with all the uncertainties that that's
- 4 going to bring, you're suddenly leaving something you
- 5 know to go to something you don't know, you're going to
- a new job, new accommodation, if you're also getting at
- 7 that point new information about your family background,
- 8 it's a lot to take in.
- 9 A. It would have been. I don't think it -- it certainly
- didn't routinely happen in that way that you just
- 11 described, no. If the young person had information
- 12 about their birth family it would have been either
- because a member of the birth family, perhaps the birth
- 14 mother, had kept in touch and sent gifts throughout the
- period or something like that, or that the young person
- had expressed a real interest and it was considered that
- 17 the young person could handle the information and that
- 18 the contact could be a positive one.
- 19 Q. It's quite a paternalistic system?
- 20 A. It was very paternalistic. Barnardo's had made that
- 21 commitment to the child that they were as a substitute
- 22 family.
- 23 Q. I take it that we can -- can we take it that there was
- 24 certainly no practice of giving leavers a copy of their
- 25 records at the point of leaving?

- 1 A. Certainly not. The records were never written with the
- 2 intention that the subject of the records would ever
- 3 read them.
- 4 Q. But that position is rather different today?
- 5 A. Totally different.
- 6 Q. And maybe that brings us on towards the
- 7 Making Connections service which you've told us about,
- 8 which became Making Connections in 2007. You told us
- 9 a little bit about how information would be provided to
- 10 former residents historically. Can you just help us
- again on that? How was that done typically or what was
- the typical position?
- 13 A. Well, anything that they were given at the point of them
- leaving the homes would have been in the limited way
- I have described already. After that, again, it was the
- 16 function of the aftercare department to be the point of
- 17 contact for anybody who had spent time in Barnardo's
- care or indeed fully grown up in Barnardo's care.
- There were aftercare officers who would respond to
- 20 correspondence. People would write in for birth
- 21 certificates, for example, because they wouldn't
- 22 necessarily have all the detail they needed to get their
- birth certificate for themselves, so Barnardo's would
- 24 supply a birth certificate. There were all sorts of
- 25 different reasons why people might get in touch with

Barnardo's to find information about their background,
which they hadn't grown up knowing.

3 The responses were very much on an individual basis.

- Q. But did you say ultimately it was the organisation and the department that determined how much information would be released to the individual who had made the enquiry? They did some form of report and they would provide information, but they would decide ultimately how much they were prepared to say?
- A. Yes, that's correct. Usually, the aftercare department would receive requests from people wanting family background information rather than information about their times in care. Another common request might be, "Oh, I grew up with this person in the home, he was with me for two years in such-and-such a home, are you in touch with him, could you put us in touch?" Again, the aftercare department would do that.

There was a whole range of different reasons why people might get back in touch with aftercare at that time, but not to give them information about what happened to them when they were in care.

Q. We have heard some evidence from people who have seen records, whether the full records or a limited set of records, that they've sometimes been quite surprised by what's in them.

- 1 A. Yes.
- Q. Either there's not a lot in them or it's all very
- 3 negative stuff and it doesn't seem to represent the
- 4 person they believe they were.
- 5 A. Yes.
- 6 Q. Have you heard that often?
- 7 A. Very, very commonly, yes.
- Q. Do you think that's a fair comment?
- 9 A. That the records don't reflect the young person's
- 10 memories, yes.
- 11 Q. Or don't actually record the young person's experiences
- in the totality?
- 13 A. Certainly, because the records do not contain accounts
- of day-to-day life in the home or in the foster home.
- The keeping of daily logs and foster carer diaries is
- a relatively modern phenomenon. So the records will
- 17 contain all the correspondence. Frustratingly,
- 18 sometimes, answers to letters aren't kept. There are
- 19 some -- there are definitely some gaps in the records.
- 20 But correspondence will be there, annual reports will be
- 21 there. From a later period the six-monthly reviews will
- 22 be there. But it varies tremendously depending on when
- the person was in Barnardo's care, how much information
- 24 will be available for them to see.
- 25 Q. Just in terms of Making Connections, you've told us that

- 1 that was the new name from 2007 --
- 2 A. Yes.
- 3 Q. -- but the service itself was running for a long time --
- 4 A. Absolutely.
- 5 Q. -- and would deal with requests for information in the
- 6 manner you've described?
- 7 A. Yes.
- 8 Q. But until around the time you joined Barnardo's there
- 9 was no practice of releasing the whole records on
- 10 request for --
- 11 A. At the point I joined was the point at which the
- 12 trustees had finally made the decision that they were
- going to have open access to records and we started
- doing that early in 1995.
- Q. Can you tell me, if you're able, what the background to
- that shift in policy was about release or access to
- 17 records?
- 18 A. Yes. The head of aftercare at the time I joined had
- 19 taken over in 1986 from the previous head of aftercare,
- 20 who had been in charge of the department for about
- 21 35 years, and the department had sort of not really
- 22 moved on very much. But when Collette Bradford joined
- in 1986, she took a look around and started listening to
- 24 what the former -- we call them old boys and girls --
- 25 were saying to her. There were some very strong and

insistent voices saying, "We should have more".

So at that point, the service started to develop.

Social workers were recruited for the first time because Collette felt very strongly that some of the issues that were being brought back by the old boys and girls which needed social work insights and skills to help them to look at and examine their past experiences. The process was that summaries of the records would be written, but they could be quite detailed summaries, you know, a couple of hundred pages in some instances, depending on the size of the file that they were presenting.

That was all quite labour-intensive. And during that period, 1986 to 1994, there was a strong -- there were a couple of very strong voices saying, "Hang on, these records are ours, they're about us, we don't really want them interpreted through your filters, we should be able to see them".

It was difficult because, as I said earlier, the records were never written with a view to being read by the subject. That's not what the records were for or were written for. So it was quite a long process of working out how this could become a real sort of service.

I think the Barnardo's trustees, when it was first put to them, were concerned about the content of

- 1 records, perhaps containing information about negative
- 2 experiences, abusive experiences on Barnardo's part.
- 3 However, the reality is that there is very little in the
- 4 records that evidences directly that children were --
- 5 some children were being abused.
- 6 Ultimately, the Barnardo's trustees made the
- 7 decision in late 1994 to have open access and we
- 8 introduced -- we recruited more social workers and
- 9 introduced that service in early 1995.
- 10 Q. But were you getting by then -- and perhaps even in the
- period you've described, 1986 through to 1994 -- was the
- 12 organisation getting feedback from former residents in
- one shape or another that not all the experiences they
- had had were happy ones?
- 15 A. Yes, yes. Again, very variable, but a small proportion
- of people were telling the organisation, telling
- 17 aftercare, that things had happened to them that
- shouldn't have happened, yes.
- 19 Q. So for the first time they were making disclosures to
- the organisation that, say, abusive things had happened
- 21 to them in some cases?
- 22 A. Yes, in some cases, yes.
- 23 Q. If I could ask you to see what connection, if any, there
- is between what you've described and certain
- documentaries that are referred to in the statement,

Τ		because I just want to be clear about this. If we turn
2		to page 88 of the statement I appreciate this is not
3		in a section that you have personally contributed to and
4		I'm not wanting to ask you to comment in too much
5		detail, but what we're told at paragraph 347 and this
6		echoes I think what you've already told us is that
7		prior to sorry, I'll let you get to the page. It's
8		page 9712 of our numbering, page 88 of the statement:
9		"Prior to 1995, if a former resident requested
10		information about their time in care, they were given
11		a summary. If allegations of historic abuse were made
12		prior to this time, it was noted in their records."
13		That seems to be what is said is the practice prior
14		to 1995?
15	A.	Yes.
16	Q.	In paragraph 348 it refers that:
17		"In mid-1995, July, there were some documentaries
18		about the work of Barnardo's, past and present, shown on
19		the BBC."
20		And the information we are being given in the
21		statement is that:
22		"By December the same year, Barnardo's had received
23		4,000 enquiries for access to records from former
24		residents. When the documentaries were repeated in
25		August 1997, a further 1,500 enquiries received. It was

around this time that awareness of understanding of historic child abuse increased as did the number of allegations made against those working in residential homes."

Does that accord with your recollection of things at the time?

7 A. Yes.

- Q. Am I right in thinking from what you've just told us it wasn't the BBC documentary that resulted in a decision to give full access, that had already been decided?
 - A. No, no. The Barnardo's decision to have open access to records was in a sense part of the reason for the documentaries. The story of the documentaries is that the BBC producer had actually come to look at the Barnardo's photographic archive with a view to producing something about Princess Diana, I think, but in the process was shown some of the older photos that are in the archive and was totally captivated, basically, and just became very sold on producing a series of documentaries about Barnardo's history.

It was a very difficult process because the way the BBC approached it was to advertise for people who had been in Barnardo's who would like their stories told in a documentary. Many people, 800 or so people, put their names forward and many people were interviewed by the

production team, but only a small handful were taken on,

and the small handful that were taken on were people who

were obviously going to make good telly, and that

included a group who were in one of our homes where

guite a lot hadn't been right for a while.

Q. A home in England?

A. A home in England, yes. So those people who did eventually take part in the documentary were rather traumatised by the BBC and although the BBC didn't really -- the production team didn't really want aftercare people to be involved, nevertheless the head of aftercare invited herself to be present when some of the filming was taking place and eventually was able to sort of forge relationships with these people who had been totally traumatised by the making of the programmes.

We did our best to try and support those individuals, but because the first two episodes of the documentaries were really extremely emotive and provocative, at the end of the programmes there was a support system put in place, which Barnardo's -- various officers from all over Barnardo's helped to staff the telephones. At the end of the programme, as soon as the phone number was put up, all the lights just lit up and for days afterwards there were just enquiries

- 1 coming in. And because of the emotive nature of the
- 2 documentaries, many of those enquiries were people who
- 3 had experienced abuse in Barnardo's, so we had to learn
- 4 very quickly how to respond to those people in an
- 5 appropriate manner.
- 6 Q. So was this one way in which Barnardo's suddenly
- 7 realised that there was quite a lot of people out there
- 8 that felt that their experiences were in some cases
- 9 abusive?
- 10 A. Yes. We knew there would be people who would respond.
- 11 I don't think we knew quite how many would respond at
- that point. Of the 4,000 enquiries, I need to say that
- 13 they weren't all people who had experienced abuse. Far
- from it. But we took a decision to prioritise everybody
- who made allegations of abuse, and, even so, it took us
- several years to work through all of those enquiries.
- 17 Q. I think that did lead, and I'm not -- I think this is
- 18 something that your colleague can tell us about if
- 19 necessary. That led to a series of actions being
- 20 taken --
- 21 A. Yes.
- 22 Q. -- dealing with some sort of historical abuse
- implementation plan --
- 24 A. Correct.
- 25 Q. -- and appointments of various people for safeguarding

- and other processes? It was the start, I think, of
- 2 a process that's described in the statement.
- 3 A. Yes.
- 4 Q. So that would start to date the process and the
- 5 significance of the BBC programme?
- 6 A. Absolutely.
- 7 Q. It wasn't to create full access to records, but it did
- 8 create the need for a process to handle allegations of
- 9 that type?
- 10 A. That's right. Because the documentaries -- where it
- links to access to records is the documentaries made
- 12 a clear statement: Barnardo's has taken the decision to
- open its records.
- Q. I was going to ask you that. Whatever else you think
- about the programme and how it was handled and how they
- handled those interviewed, it did make clear to those
- 17 listening to the programme that Barnardo's had made
- 18 a policy decision to allow full access to those that
- 19 wanted to see their whole records?
- 20 A. Yes, that's right.
- 21 Q. So far as the material that's held by Barnardo's, quite
- 22 a lot of archival is held by the Making Connections
- 23 service, is it, or is it all of it?
- 24 A. It's all there, yes.
- 25 Q. We understand that, obviously, all the children's files

- 1 are held?
- 2 A. All the children's files are held. There are one or two
- 3 small gaps that we have only learned about over the
- 4 years. We know, for example, that during the war there
- 5 was a bomb landed in Stepney, so there was some fire and
- 6 flood damage there. But by and large, the sort of
- 7 success rate of retaining the records has been quite
- 8 high.
- 9 Q. So the service manages those historical records?
- 10 A. Yes.
- 11 Q. Current records are held presumably in different places?
- 12 A. The current records are generally held in the service.
- 13 Since 2005, Barnardo's has -- most of Barnardo's records
- 14 are kept electronically, but prior to that, there were
- paper records. So when we're looking for records,
- we have to know at what period we're looking because
- 17 sometimes there will be a paper record and an electronic
- 18 record.
- 19 Q. In terms of the archival material that's held by
- 20 Making Connections, you told us about the children's
- 21 files. So far as we are looking at other forms of
- 22 records -- policies, procedures, whatever -- we've
- 23 already heard evidence -- and you're probably aware of
- this -- that some perhaps quite important documents have
- not been retained for one reason or another over time.

- 1 So they're not held in archives?
- 2 A. Yes. Barnardo's Children's Services Department has had
- 3 retention policies, clear retention policies, for quite
- 4 some time, but Barnardo's organisation as a whole has
- 5 not necessarily. So there has not always been a clear
- 6 path for what happens to a record when it's done with
- 7 and perhaps when a department closes or a department
- 8 merges with another department. So yes, we have not
- 9 always retained --
- 10 Q. I don't know if you were listening yesterday to
- 11 Sir Roger's evidence. Obviously he has to some extent
- been a contributor to the statement, particularly about
- his period as chief executive and what was happening and
- 14 how policies were formed and what they were dealing
- with. I think he expressed some surprise that there was
- no copy of his care and control policy as originally
- 17 formulated by the Four Cs and drafted, I think,
- 18 personally by him to some extent. Are you surprised
- 19 that that wasn't kept or retained somewhere?
- Do you have any comments to make about --
- 21 A. I can't really comment on that. In Making Connections,
- 22 we're sort of more -- we research into what we think
- 23 might have happened and we observe what has happened and
- 24 make a note of it so that we can try and account for
- gaps as well as what we have.

1 Q. If then an allegation of non-recent abuse is received, and I don't want to go into the details, but so far as your involvement might be or your department, do I take it that the archives would be searched to see if there is anything of relevance to the allegation as a matter of practice now?

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Up to a point. I'm not going to say that we do a major Α. investigation into every single allegation that we receive. But before we receive an allegation, or during the course of our contact with somebody who's approached us for access to records, we will have the main piece of evidence, which is their record, and the experience of abuse will either be evident in the records or not, more commonly not. But then there will be evidence in the records which is circumstantial, which perhaps confirms that they were at a particular establishment, there might be the name of the particular member of staff on there -- or a peer if we're talking about peer abuse. So some kind of contextual evidence will almost certainly be available from the record itself.

> The other main source for us that we use to help people when they make allegations is our electronic database, which is quite a complex database, which gives us some problems sometimes, but it is by and large fairly accurate. We have a member of staff who is

1 skilled in using the database and can, for example, if 2 somebody comes to us and says that they experienced abuse at, let's say, Tyneholm, then that member of staff 3 can go to the database and pull up everything that has been recorded about Tyneholm and that will pull together all the cases. We can then look into the cases and find 6 7 out who the alleged abuser was in each case, the alleged perpetrator.

> So what we can do is pull together information which enables us to go back to the victim who's just disclosed to us and say, "Actually, you weren't alone", and we don't give identifying detail, obviously, but we will say, "This is not the first time we have heard about this individual".

- You used the word "help". Do I take it then that the Q. approach is to use these searches to see if there is evidence that will help the individual who has made the disclosure rather than finding evidence to disprove what is said?
- Oh, we're not looking for evidence to disprove. 20
- 21 It's to see if there's evidence that supports and Q. 22 assists?
- 23 Α. Absolutely.
- 24 And, in fact, maybe reveals more of a pattern --Q.
- 25 Α. Yes.

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- 1 Q. -- that might be relevant to a criminal investigation?
- 2 A. Yes, absolutely, absolutely.
- 3 Q. Am I right in thinking -- and I think this is somewhere
- 4 in the statement, I don't need to take you to the
- 5 detail -- since about 2001 the policy has been to report
- 6 all allegations of criminal behaviour --
- 7 A. Yes.
- Q. -- to the police? I think we'll hear more about that
- 9 maybe tomorrow if necessary.
- 10 A. Yes, that's correct. In a nutshell, Barnardo's was part
- of a group of children's charities known as the
- Big Five, which all got together and said, "What are we
- going to do? We're all experiencing these allegations
- and we don't think that we're responding adequately".
- The Big Five pulled together a set of principles and
- standards, which the safeguarding officer who was
- 17 appointed -- the safeguarding lead who was appointed at
- 18 Barnardo's in 1998 then took on board and appointed an
- 19 assistant director to implement those principles and
- 20 standards and that included the obligation to report
- 21 information which could be used for a criminal
- investigation to the police.
- 23 LADY SMITH: Who are the other four charities?
- 24 A. Action for Children, NCH as was; the Children's Society;
- 25 Save the Children, if I'm not mistaken; Barnardo's --

- and who was the other one? Was it the Red Cross?
- 2 Sorry, my Lady, I can't remember.
- 3 LADY SMITH: I have a feel from that. What about the timing
- 4 of this? Obviously, after 1998, or was it before 1998
- 5 when the safeguarding lead took this on board? About
- 6 what time did you get together and decide you had to
- 7 have a common policy on how to deal with these
- 8 allegations?
- 9 A. Well, during the course of -- from late 1998 to 1999.
- 10 So that's when we started reporting on what we were
- 11 receiving, the new allegations that we were receiving.
- But then, in 2000, we also made a decision to go back
- and review all those cases that we had been left feeling
- 14 uncomfortable about and we reviewed every single case
- where allegations had been made and took decisions about
- whether we were going to revisit the person, contact
- 17 them, with all that that involved, possibly bringing up
- 18 more painful things to not much effect, or whether
- we were going to report them to the police.
- 20 MR PEOPLES: The five principles and organisations, it's all
- 21 public record. I think I tried to do it, I haven't got
- 22 it in front of me, but I think we can find who they
- 23 were. They were well publicised?
- 24 A. Yes.
- 25 Q. Indeed, the appointment of a head of safeguarding or

- a lead was 1998 for the first time; is that right?
- 2 A. No. A new head of safeguarding, sorry.
- 3 Q. I didn't follow that, sorry.
- 4 Just going back to Making Connections, because I'm
- 5 almost finished, I think, with the questions I have for
- 6 you. Obviously, one of the functions is to deal with
- 7 requests for access --
- 8 A. Yes.
- 9 Q. -- which will involve full access to records. There's
- an indication that that will be done with the provision
- of support and counselling --
- 12 A. Yes.
- 13 Q. -- for no doubt reasons you've already touched upon
- about how it can be a traumatic experience to look at
- 15 records, so you have this support mechanism in place --
- 16 A. Yes.
- 17 Q. -- that's available to someone who wants to see their
- 18 records?
- 19 A. Yes. And again, there's been an evolution in practice
- because from 1995, we were so convinced of the
- 21 importance of support that we actually insisted on
- face-to-face interviews with everybody that we shared
- 23 records with. That often involved social workers
- 24 travelling all over the country where people weren't in
- a position to travel themselves. We would cover the

costs of people coming down to see us. That was obviously more economical with our time, but more expensive in travel.

We were able to sustain that, if you like, condition up until the implementation of the Data Protection Act, which was I think finally in 2001, where we realised that of course this is somebody's absolute right, and if they absolutely refuse to come and visit us and meet with us to look at their records, then there was nothing we could do about it. So we then started, basically, working on our telephone counselling skills. The team had telephone counselling skills anyway because we did a lot of introductory work, follow-up work with people on the phone but now we needed to focus that more and our support is now largely telephone support.

We write a supporting letter which highlights for people perhaps what we think might be information of significance or difficulty and we have a totally open policy in terms of follow-up support: please contact us at any time that we can help you.

Q. So historically, before the data protection legislation and the rights of access to information, freedom of information legislation, the organisation's position was that the records were their records, they could give out such information as they saw fit to do to the

- individuals in care, but once these pieces of
- 2 legislation were passed, and perhaps also the Human
- 3 Rights Act, all these things, did that lead to
- 4 a significant shift in thinking, that these are their
- 5 records, they're entitled, but we would like to offer
- 6 the support --
- 7 A. I would say the shift in thinking was not around the
- 8 Data Protection Act. The shift in thinking was around
- 9 1995 -- 1994 leading up to that decision in 1995.
- 10 Q. This just reinforced the legal right to do that of the
- 11 person making the request?
- 12 A. That's correct. There was no legal obligation on
- 13 Barnardo's to give people access to their actual records
- 14 prior to the Data Protection Act.
- 15 Q. But indeed, if they tried to impose conditions of access
- such as a formal interview, the Data Protection Act
- 17 ruled that out as an inflexible process?
- 18 A. It just changed our thinking a bit. The bottom line,
- 19 prior to the Data Protection Act, was that we did not
- 20 refuse somebody access to their records if they weren't
- able to come. There were a few cases where we would
- 22 arrange for records to be sent to perhaps a Barnardo's
- 23 project local to them and for them to go in and pick
- them up and sign for them, that sort of thing. People
- 25 had an absolute right to refuse our support and a few

- did, but for the most part people were actually quite
 appreciative of the support they got.
- Q. So far as support is concerned, finally, that's support in the context of accessing records that you may not have seen before or full records that you may never have seen, what about wider support for someone who comes along and wants their records against a background of saying, "I had a bad experience or an abusive experience in care"? Does the Making Connections service have other forms of support to cater for that scenario?

A. We have always had the possibility of helping somebody with counselling. Over the years, we have gained a lot of knowledge of what's available for people and we have a well-developed signposting system and our social workers will research what could be available in a person's home area to ensure that they get counselling -- and by counselling, I mean therapeutic counselling rather than what we call counselling, which is sort of advice, help, whatever's needed.

Where people have a need for therapeutic counselling, we will help them to try and secure that.

Barnardo's hasn't provided that itself for the most part to people. We do, as a kind of -- what's the word -- safety net, a sort of bottom ... we do subscribe to a national therapeutic counselling company called

- 1 CNLR Horizons. For the last two years, we've been
- 2 subscribing to their service so that we have something
- 3 available in the event that one of our service users
- 4 comes to us and says, "I'm really in a mess, there's
- 5 nothing in my area that I can access or I can afford",
- and we could use that if need be. But in the two years
- 7 we've been subscribing to them, there's been nobody
- 8 who's come forward and said, "I think you should get me
- 9 therapeutic counselling".
- 10 Q. Does the organisation have a policy on how these
- 11 services, if necessary, are funded?
- 12 A. The organisation has agreed to the funding of this
- service if it's taken up.
- Q. If there's a local service that requires a payment that
- can't in other ways be met by the individual through
- either some state support or some other means of
- 17 support, is the organisation in principle prepared to
- 18 fund such services? I don't want to commit you to
- 19 individual situations, but in principle is that an
- option that will be considered?
- 21 A. It's an option that will be considered, yes.
- Q. On a case-by-case basis?
- 23 A. On a case-by-case basis in particular circumstances,
- yes.
- 25 MR PEOPLES: These are all the questions I have for you

- 1 today.
- 2 LADY SMITH: Can I just ask you about one thing to do with
- 3 aftercare? Correct me if I'm wrong, but I think I have
- 4 read it's Barnardo's that had or have a project entitled
- 5 "spare room project" encouraging people to come forward
- 6 who have a spare room in their flat, for example, and
- 7 would be interested in taking on somebody ex-care as
- 8 a flatmate. Is that Barnardo's?
- 9 A. You're talking about currently?
- 10 LADY SMITH: Yes.
- 11 A. I have heard of something along those lines, but I don't
- think I'm in a position to absolutely confirm it. It
- sounds like the kind of thing that Barnardo's might do,
- 14 yes.
- 15 LADY SMITH: Right, thank you very much.
- 16 A. And it's an example of how we -- a lot of what we do
- 17 today we were also doing many years ago in different
- ways.
- 19 LADY SMITH: It sounded quite imaginative and looking at
- 20 what all sorts of current provision may be available to
- 21 help young people coming out of care.
- 22 A. Yes.
- 23 LADY SMITH: Can I check whether there are any outstanding
- 24 applications for questions?
- MR JACKSON: No, thank you.

1 MR PEOPLES: These are all the questions -- I'd like to 2 thank Kate for coming along today and answering the 3 questions on this matter. Thank you very much. LADY SMITH: Can I add my thanks to you, both for providing 4 the section that you provided in the overall statement 5 6 and for coming along to talk about your experience 7 today. It's very helpful to me to have heard that and I'm now able to let you go. 8 9 A. Thank you. 10 (The witness withdrew) LADY SMITH: That neatly takes us to 11.30, Mr Peoples. 11 12 We'll take the morning break now. (11.30 am)13 14 (A short break) 15 (11.50 am)LADY SMITH: Yes, Mr Peoples. 16 17 MR PEOPLES: My Lady, the next witness to give oral evidence 18 is David Beard. 19 DAVID BEARD (sworn) 20 LADY SMITH: Please sit down and make yourself comfortable. 21 If I can ask you to make sure that the microphone 22 picks up your voice: we need to hear you through the 23 sound system. 24 I'll hand over to Mr Peoples and he'll explain what 25 happens next.

1		Mr Peoples.
2		Questions from MR PEOPLES
3	MR I	PEOPLES: Good morning.
4	Α.	Good morning.
5	Q.	Do you have any objection to me calling you David?
6	Α.	Not at all.
7	Q.	Can I just start with some introductory information?
8		There's a red file in front of you, David, that contains
9		a copy of the Barnardo's statement, BAR.001.004.9717.
10		That's our identification of it. That copy is for your
11		use today in giving evidence if you require to consult
12		it.
13		The statement will also appear in front of you on
14		the screen, so if you find it easier to work off the
15		screen at any stage, feel free to do so.
16		You're here today really because you've contributed
17		at least one section to the statement I have just
18		referred to, which is to tell us a bit about some
19		current practices of Barnardo's in various matters.
20		That's what will be the focus of my questions today.
21		Indeed, I think if I could just identify at this stage
22		that the matters you're here to tell us about are
23		contained in the statement at page 93 through to
24		page 101, I think from about paragraphs 364 to 404. In

our numbering it's 9717 through to 9725. Those really

25

- 1 are the matters we'll focus on.
- 2 Can I also say this, that in that section and
- 3 chapter of the statement, reference is made to various
- 4 documents that were submitted to the inquiry as
- 5 appendices to the statement. I think there are
- 6 14 appendices in all with a variety of policies and
- 7 guidance and forms and things of that nature, reports.
- 8 Can I say at the outset that it is not my plan today to
- 9 take you to the detail of these documents. We have
- 10 them, I think they've been released, and obviously
- 11 we can all read them.
- 12 What I would like to do today is to get some
- 13 understanding, a general understanding, of the processes
- that are used in various situations by the organisation.
- That's really my intention today.
- Can I take some preliminary information, David. How
- long have you been employed by Barnardo's?
- 18 A. I have worked for Barnardo's since 1998.
- 19 Q. What is your current role or position within the
- 20 organisation?
- 21 A. I currently work as the head of corporate safeguarding.
- I have been in that position since November 2016. That
- 23 means I have overall responsibility for safeguarding
- 24 practice across the whole of the organisation, so that's
- 25 both within children's services but also the other areas

- of Barnardo's business, such as retail and fund-raising,
- 2 et cetera. I hold responsibility within that for the
- 3 policies and reporting procedures, for reporting those
- 4 upwards as necessary to the corporate director
- 5 responsible for safeguarding within the organisation,
- the chief executive, and ultimately the trustees.
- 7 I manage a small team of people at a head office
- 8 level in London who have responsibility for
- 9 safeguarding, complaints and some areas of children's
- 10 services policies.
- 11 Q. I think maybe the best way for us to get a general
- 12 understanding of how your current processes and policies
- work is probably for me to ask you a few "what if"
- questions. I think that's probably as easy a way as any
- 15 to get an understanding of how things would operate in
- 16 certain situations that you may be faced with today.
- 17 The first matter I think that you deal with in the
- 18 statement is the matter of complaints --
- 19 A. Yes.
- 20 Q. -- and representations. That's, I think, starting at
- 21 paragraph 366. I'd just like to ask you a little bit
- 22 about that. There is a complaints process that
- 23 Barnardo's have put in place and I think the current
- 24 policy dates from August of last year.
- 25 A. That's when it was last reviewed, yes.

- 1 Q. I think you tell us that all your policies are subject
- 2 to regular review and updating and revision, if
- 3 necessary.
- 4 A. That's correct. Just to add something to that: the
- 5 safeguarding policies are all annually reviewed. The
- 6 other children's services or organisational policies are
- 7 determined by a particularity of their review. The
- 8 frequency of the complaints one would be every two
- 9 years.
- 10 Q. Just by way of -- you tell us, before we look at the
- 11 complaints policy as such, in paragraph 365 that:
- "In 2016, for example, Barnardo's carried out an
- extensive consultation on the issue of reporting on
- 14 allegations and incidents and made changes as a result
- of that process to the reporting process for serious
- 16 safeguarding incidents."
- So that's an example of --
- 18 A. That's an absolute example of our process, yes.
- 19 Q. And I'll maybe come to ask you a little bit about what
- 20 serious safeguarding incidents involve in a moment.
- 21 So far as complaints are concerned, they would
- 22 initially fall under the complaints and representation
- 23 process in the policy that you refer to, starting at
- 24 paragraph 366; is that correct?
- 25 A. Correct, yes.

- Q. Is this what one might term a fairly generic policy to cover a range of situations, complaints, concerns, representations, a multitude of situations?
- Absolutely. You sum it up well. It's entirely that. Α. It's a broad children's services complaints policy. It also pertains to our work in family placement in areas where we're also involved in education, training and schools. It's a process, hopefully transparent, where children and young people are able to articulate any concern that they have, any complaints or indeed representation, should they wish to make something of a more positive nature about the service that they've been provided with to the organisation.

Q. If I'm asking you questions about complaints in particular today, can you perhaps bear in mind that what our interest in particular is perhaps a complaint by a child or young person in the care of Barnardo's currently about abuse or ill-treatment, particularly by a member of staff. That's the sort of situation that maybe you can keep in mind in telling us about the processes today. Because I appreciate the other forms of representation might raise other considerations.

If such a complaint is made, does it proceed under this policy or is there a distinct or discrete process that happens afterwards if you receive that kind of

- 1 complaint?
- 2 A. So if it's a complaint that's made that makes an
- 3 allegation against a member of staff or a carer or
- 4 volunteer working for or on behalf by a child or young
- 5 person in our care, at the point of the initial stage,
- 6 the point 1 which is covered in, I think, a later
- 7 paragraph in the statement. At that stage, the
- 8 consideration would be given as to whether or not it's
- 9 of sufficient gravity that it should be immediately
- 10 moved more into the policy for managing allegations
- against staff carers and volunteers who work for us, so
- 12 moving from recognising and logging it as a complaint,
- but recognising that the process for investigation
- should actually be more formalised and therefore go
- through the allegations policy that is referenced later
- in the statement.
- 17 Q. Just take that scenario, just say that there's the young
- 18 person in care, a complaint of ill-treatment or abuse is
- 19 directed against a person currently employed by
- 20 Barnardo's or at least works for them in some voluntary
- 21 capacity. Is there any difference between the latter
- two situations?
- 23 A. No.
- Q. Let's take that scenario then. The complaint is made,
- 25 it's logged. What happens next in practice then? What

- would be the next step if that's the type of complaint
 we're dealing with?
- 3 A. Okay. It would go through due managerial process.

It would go through the service manager, the unit

manager, for instance if it was in a residential unit,

or his or her assistant director.

At that point, a first decision would be taken along the lines I suggested -- if it was of sufficient gravity it should go down that allegation route. It would then be notified through to myself as the head of corporate safeguarding and also through the equivalent HR processes in the regional or national area of the business considered for an immediate decision as to how that complaint/allegation is going to be managed going forward.

So if you will, we hold an internal strategy meeting at that point to make a definitive decision about the next stage of the process.

- Q. So we have the complaint, the units involved in this stage, you're notified if it's this type of complaint, and then there's a decision taken as to -- does it involve an allegation, is that the first question?
- A. The question would be -- well, any allegation will be taken seriously irrespective of what it's saying. So we will not be determining at that stage whether the

- 1 allegation is proven or not proven. It's a complaint
- 2 ... which probably errs it into the side of being better
- 3 investigated through an allegations policy.
- 4 Q. Say you characterise it as pretty plain on its face,
- 5 that the complaint is characterised properly as an
- 6 allegation of abuse or ill-treatment by a member of
- 7 staff. Let's just take that simple situation. That's
- 8 been determined, there's no real doubt about it.
- 9 A. Okay.
- 10 Q. So what happens next?
- 11 A. Then we will take the immediate next decision in respect
- of that person. So if it's an employee or a volunteer,
- a decision needs to be taken whether they need to be
- suspended from their duties, taken off their duties.
- 15 A decision taken -- if it's an allegation undoubtedly
- we will refer directly to the police at that stage for
- 17 investigation. The important thing is not to obviously
- 18 prejudice any external investigation by entering too
- much of an internal process at that stage.
- 20 Q. Can I stop you there so we take this slowly just so we
- 21 know -- an allegation of abuse or ill-treatment
- 22 involving criminal conduct or potentially criminal
- conduct, it's reported to the police for them to make
- 24 such investigation as they consider appropriate?
- 25 A. Mm-hm.

- 1 Q. You have to consider what you do with the alleged abuser
- 2 who's working in the organisation -- say they're in the
- 3 unit and have direct access to children -- are they
- 4 automatically suspended pending investigation?
- 5 A. This would be suspended without prejudice pending
- 6 investigations.
- 7 Q. It's not a disciplinary matter, it's just a suspension
- 8 to allow the matter to be investigated?
- 9 A. Yes.
- 10 Q. Is that with the -- at least as a safeguarding measure?
- 11 A. Absolutely. The importance in this is obviously the
- 12 safety of children and young people in our care.
- 13 Q. You have told the police. Does anything else happen by
- 14 way of active investigation of the matter within the
- organisation while the police investigation is ongoing?
- A. Not directly at that stage in terms of any formal
- 17 proceedings because, as I indicated in the previous
- answer, that would clearly be prejudicial to any
- investigation. We would cooperate fully with police
- 20 enquiries, so releasing information to them through due
- 21 process. For instance, if it was in the residential
- 22 unit we may have CCTV coverage that could be useful
- 23 within that, so we would absolutely cooperate and
- 24 we would -- sorry.
- Q. You carry on.

- A. We would wait then for it to be determined by the

 outcome of that as to what the next internal stages for
- Q. The police may approach you, with or without any
- 5 necessary warrants to get any information that they
- 6 require for their investigation, they may want to
- 7 interview people, and you would cooperate in that
- 8 investigation as an organisation?

us to take forward were.

9 A. Yes.

3

- 10 Q. But separately, would you be making any form of
- independent investigation either with a view to
- 12 assisting the police or carrying through any process of
- your own?
- 14 A. It's sometimes a difficult balance to play in terms of
- that kind of decision-making as to what is the best
- thing to do. Undoubtedly, the assistant director or
- 17 manager who had investigatory responsibility would
- 18 probably be doing some discreet enquiries around the
- 19 fringes to see if there was anything else we needed to
- 20 know about. But as I said, that's a delicate one to
- 21 balance in terms of, again, I use the phrase again, not
- 22 prejudicing the external investigations.
- 23 Q. Would, for example, at that stage, you instruct a search
- of records held by the organisation, current records
- about the individual who's making the allegation about

- the individual who's the subject of the allegation, and other relevant records? Would that be done?
- A. We would do that. We would certainly cooperate with any request for that from the investigating police.
- Q. So a police investigation, but generally speaking
 therefore any action other than of the action described
 you would hold in abeyance until the investigation
 reaches some conclusion?
 - A. We would be under a lot of persuasion from our human resources colleagues to not do anything differently,

 I suspect, in relation to that. The important thing is to safeguard the interests of children and young people.
- Q. Let's keep on with this example. It's been reported to
 the police that there's been police investigations.

 There's two potential outcomes, I suppose. One is that
 the matter is reported for prosecution and a decision on
 prosecution is taken. So does that mean that the
 process remains in abeyance, if you like, or any action
 by the organisation pending these proceedings?
- 20 A. Pending proceedings, yes.

10

11

12

Q. The other broad scenario might be the police make an investigation and, for one reason or another, advise you that the investigation is concluded and there is to be no further action taken in terms of prosecution or otherwise?

- 1 A. Then we will still proceed with our internal
- 2 investigation at that stage.
- 3 Q. So at that point, whatever action is taken by the
- 4 external agencies, particularly the police or the
- 5 prosecution authorities, once you know that they're
- finished with the matter, you will then conduct an
- 7 organisational investigation --
- 8 A. Yes.
- 9 Q. -- separately?
- 10 A. Yes. Because we want to be absolutely sure from an
- organisational point of view that, irrespective of the
- 12 outcome of the police investigation, we are satisfied
- that that person is fit and proper to continue to work
- with children and young people. We owe that both to the
- 15 children and young people we are working with, but also,
- if that person leaves our organisation, that we're able
- 17 to determine that in any ongoing reference as well.
- 18 Q. Would this further investigation involve making some
- decision or determination on the substance of the
- 20 allegation?
- 21 A. Arguably, yes. If something came out that the police
- 22 were not -- I'll answer it a slightly different way.
- 23 If something came out in our investigation which we
- 24 felt had not been made known to the police for whatever
- 25 reason, then our responsibility at that point would be

- 1 to report that back for the police for them to make any
- 2 further consideration of the next action.
- 3 Q. If I take this example: the police may take no action or
- 4 the prosecution take no action because there isn't legal
- 5 corroboration, there's just the allegation and the
- 6 enquiries have revealed there's not a sufficient basis
- 7 to prosecute, the matter ends there from their point of
- 8 view, it is back into your court, the allegation is
- 9 still there, a person has made it. Presumably you have
- 10 to then do something about it?
- 11 A. Yes. And if on the balance of probabilities we believe
- that it has happened, then we will take the appropriate
- action at that point and that may well be ending that
- 14 person's employment with us.
- Q. Before that stage is ever reached, would there be some
- form of both investigation and then some form of
- disciplinary proceedings --
- 18 A. Yes, yes.
- 19 Q. -- as part of the process?
- 20 A. Yes. Absolutely. I kind of gone straight to the end
- 21 result to that missing the bits out in between. Yes,
- absolutely, there's an agreed disciplinary process to be
- taken forward.
- 24 Q. And that would involve taking account of what was said
- by the person making the complaint?

- 1 A. All of that, yes.
- 2 Q. The response of the person who's the subject of
- 3 complaint?
- 4 A. Yes.
- 5 Q. And any other relevant information --
- 6 A. Yes.
- 7 Q. And then a judgement is made?
- 8 A. Well, a judgement is made. The person complained
- 9 against can obviously appeal against that judgement and
- then it goes through normal disciplinary/HR processes.
- 11 Q. There is a process they can go through if they are not
- satisfied that they accept the decision as well?
- 13 A. Yes.
- 14 Q. The sort of thing you might find in any organisation
- where that sort of allegation made?
- 16 A. Yes.
- 17 Q. And who would generally, in the case of an allegation or
- a complaint about abuse or ill-treatment by a member of
- 19 staff against a child or young person, investigate on
- 20 behalf of the organisation?
- 21 A. Either the assistant director or regional -- national
- 22 director level. It is determined by the position of the
- 23 person in the organisation that was being investigated.
- So if it was a worker within a residential
- 25 establishment, probably by an assistant director

- independent of that establishment, so someone who hadn't
- 2 had any prior contact.
- 3 Q. So anyone connected with the establishment is not
- 4 involved in the investigation or conducts --
- 5 A. Once it moves into those formal processes, no.
- 6 Q. And if the allegation is against the head of the unit,
- 7 then who would likely conduct the investigation and the
- 8 hearing?
- 9 A. In all likelihood, a director from another regional
- nation, so if it was in Scotland, anyone other than the
- 11 director in Scotland.
- 12 Q. And if it's a more junior residential care worker,
- 13 perhaps in the basic level within the unit, who would
- 14 generally conduct the investigation and then any
- 15 disciplinary hearing?
- 16 A. So an assistant director but not one who had any direct
- 17 line management of that unit.
- 18 Q. I have rather compressed that. The investigation would
- be one officer and the hearing would be by someone
- 20 separately. The investigating --
- 21 A. I beg your pardon, yes.
- 22 Q. -- officer would present the case --
- 23 A. If it went on to the other stages.
- 24 Q. If it went that far. If it was considered after
- 25 investigation that a hearing should be convened to look

- 1 at the allegations --
- 2 A. Yes.
- 3 Q. -- and make a judgement --
- 4 A. Yes.
- 5 Q. -- with a view to taking, if necessary, appropriate
- 6 action including dismissal?
- 7 A. Yes.
- 8 Q. And meantime, when all this is happening, are we still
- 9 in the situation where the person who is the subject of
- 10 the allegation suspended pending these matters being
- 11 resolved?
- 12 A. Indeed.
- Q. Would they be redeployed?
- 14 A. Highly unlikely on the basis of a safeguarding
- 15 allegation.
- 16 Q. Okay.
- There's one thing I picked up from the statement,
- 18 that for the purposes of your processes, a child or
- 19 young person within Barnardo's is someone under the age
- 20 of 16.
- 21 A. Yes.
- Q. And a person, young person, in residential care in
- 23 Barnardo's -- and I appreciate they've not got a large
- 24 number of units now in Scotland, but they will have some
- and they will be people of different ages -- a person

- between the age 16 or over and under 18, that person is
- 2 treated as an adult for the purposes of your policies
- 3 and procedures?
- 4 A. Peculiarly, within Scotland, yes.
- 5 Q. I'm just trying to establish that's how it's done.
- 6 A. That's ...
- 7 Q. I'm not trying to form any criticism.
- 8 A. I know you're not.
- 9 Q. So far as the processes you've just described that apply
- in the case of a complaint by a child or young person or
- 11 made on their behalf, is that process in any way
- 12 different depending on whether the person is treated as
- a child or an adult?
- A. No, not at all.
- 15 Q. So the same would be done?
- 16 A. Absolutely the same would be done.
- Q. So the fact they are just in different policies doesn't
- in a real sense make any difference to this situation?
- 19 A. No. It's a challenge of having policies that have to
- 20 cover four nations' legislation that have slightly
- 21 different determinants.
- 22 Q. I appreciate that. All I'm after is ultimately it's not
- 23 making a material difference to the response or process?
- A. Absolutely not.
- 25 Q. I'm not really worried about the technical reasons for

- it, I just want to know what would happen if I was
- being -- if I wanted to know if I was that complainer
- 3 what would happen, it wouldn't make a jot of difference
- 4 if I was 15 or 17?
- 5 A. It wouldn't.
- 6 Q. Okay. So far as the process is concerned, going back to
- 7 the complaints process, the general complaints process,
- 8 I just want to know how in the context of complaints
- 9 against residential care workers by children or young
- 10 persons, how this process that you have set out with
- 11 three stages of investigation -- does that apply at all
- 12 then to this scenario?
- 13 A. Well, hopefully I clarified this in some of the previous
- 14 answers. As soon as it's clear that the complaint is
- one of safeguarding allegation nature, it moves out of
- this three-stage process. This three-stage process is
- 17 much better -- a much clearer process arguably for
- 18 anything other than the allegations and safeguarding
- 19 ones. That's the easiest way for me to describe it to
- 20 you --
- 21 Q. That's what I want to know because I don't want to take
- 22 up time getting a complete understanding of the process
- as we can read it ourselves. Basically what you have
- told us is what happens?
- 25 A. Yes.

- 1 Q. The three-stage process is a general process, but
- 2 it would not be the process followed in the example
- I have given?
- 4 A. No.
- 5 Q. One thing, however, that the complaints process
- 6 envisages is that a complainer who's a child or young
- 7 person, whether making a complaint or representation,
- 8 would have access to the services of an independent
- 9 advocate --
- 10 A. Yes.
- 11 Q. -- under the general complaints and representation
- 12 policy?
- 13 A. Yes.
- 14 Q. To give them advice, assistance, support, representation
- if need be, at any proceedings or hearings or whatever.
- How does that provision apply in the example I gave you?
- 17 If the child or young person, 15, 17, whatever, has made
- 18 a complaint or it's been made on their behalf and
- 19 there's a process of investigation and possibly a
- 20 hearing into the allegation, does that person have the
- 21 services of this independent advocate through this
- 22 process?
- 23 A. Absolutely. That's absolutely the right and appropriate
- thing to do, to support a young person through making --
- 25 going through a very difficult and challenging process.

- 1 Q. And that would be including at the stage of any attempt
- 2 to take detailed statements or a response to matters
- 3 that come up in --
- 4 A. Absolutely, and as determined by the young person
- 5 themselves as to who is the most appropriate person to
- 6 support them.
- 7 Q. And any representation needed at, say, for example,
- 8 a disciplinary hearing?
- 9 A. Indeed.
- 10 Q. You say the choice ultimately lies with the young
- 11 person. What if they're quite young?
- 12 A. Well, it still has to be someone that they ultimately
- have trust and confidence in, who is known to them.
- Depending on their age, it could be a teacher, it's
- a trusted adult who they -- or trusted friend who they
- have confidence in and who can best advocate on their
- behalf.
- 18 Q. Who's independent of the organisation?
- 19 A. Who's independent of the organisation.
- Q. Obviously, some of the possible candidates you have
- 21 mentioned would not necessarily have trained advocacy
- 22 skills. Does that matter?
- 23 A. I don't think so. When I say I don't think so, the
- 24 ultimate test in this is someone who the young person
- 25 trusts and they have confidence in, who will support he

- or she in following this process through. Clearly, the
- 2 idea would be that people would have advocacy skills and
- 3 they would be trained as such.
- 4 Q. The reason I ask you is we know, for example, there
- 5 would be organisations, and one that comes to mind is
- 6 Who Cares? Scotland. One of their functions is,
- 7 I think, to provide advocacy support to young people in
- 8 care in one situation or another. Would that be the
- 9 type of organisation that would be involved in this
- 10 process?
- 11 A. Yes, absolutely.
- 12 Q. Or could be?
- 13 A. Could be, yes.
- Q. And if a young child wasn't of an age to be able to
- express a view on what representation they needed, would
- that be a port of call by the organisation?
- 17 A. Absolutely it would be a port of call for that and any
- 18 other government organisation yes.
- 19 Q. I think that sort of organisation provides advocacy
- 20 workers who will represent children's interests at
- 21 a variety of situations including, say, Children's
- 22 Hearings --
- 23 A. Yes.
- Q. -- and reviews of children's placements --
- 25 A. Yes.

- 1 Q. -- and other situations of important decision-making
- 2 processes?
- 3 A. Yes.
- 4 Q. Is that your understanding of what they do?
- 5 A. Absolutely. It is important in having the voice of
- 6 childhood in those decision-making forums.
- 7 Q. Under the general complaints process, there's a time
- 8 limit for making complaints. What happens if there's
- 9 a complaint by a child or young person that's made more
- 10 than 12 months after the event or the alleged event?
- 11 How is that -- is it a non-recent abuse point or is it
- dealt with under the process you have just described?
- 13 A. From my perspective, it would be dealt with under the
- 14 process that we've just described. It would be dealt
- 15 with on a contemporary basis. I think it's, again --
- 16 we have spoken -- this is a generic policy. The
- 17 12 months may well be an appropriate timescale to put in
- for some complaints, but clearly for ones of
- 19 a safeguarding allegation nature, absolutely not, so we
- 20 would follow through in terms of the process --
- 21 Q. So there's no rigidity in terms of classifications --
- 22 A. No.
- 23 Q. -- as to recent or non-recent in the case of children
- 24 currently in care?
- 25 A. Yes.

- 1 Q. And they would be dealt with in a similar way regardless
- 2 of the time that has elapsed if they're still in care?
- 3 A. Yes.
- 4 Q. Can we move to a different situation or scenario. What
- 5 if there is an allegation of something that you would
- 6 categorise as non-recent child abuse, historical child
- 7 abuse that someone comes along and discloses or makes an
- 8 allegation that something had happened to them? Can you
- 9 describe what processes currently are followed in that
- 10 scenario?
- 11 A. Just to clarify, we're talking about historical child
- abuse? I think that's what you are referring to. So
- a child or young person advises us of something that
- happened while they were in our care some years back?
- 15 Q. So you see this situation as confined to a former
- resident who was making an allegation about something
- that happened quite a long time ago?
- 18 A. Not necessarily, no.
- 19 Q. Okay. What situations are covered?
- 20 A. Sorry, I don't quite understand the question you're
- 21 asking me. Can you re-ask me the question please so I'm
- absolutely clear?
- Q. In what circumstances, for example, would the process
- that's set down for allegations of non-recent child
- 25 abuse -- if we look at it this way: in what

- 1 circumstances, if any, would that process apply where
- 2 the complainer is a child or young person currently in
- 3 care?
- 4 A. Currently in our care?
- 5 Q. Yes.
- 6 A. We would deal with it -- sorry, I understand now --
- 7 through contemporary processes. It would not be dealt
- 8 with as a historic issue.
- 9 Q. The process is confined to someone who is no longer
- in the care of Barnardo's but who was in the care at
- some point, whatever their age, and they're making an
- 12 allegation about events that happened when they were in
- care with Barnardo's?
- 14 A. Yes. Sorry to --
- Q. No, no, I just want to understand. So there is
- a separate process for that scenario?
- 17 A. The former one we are talking about, the child who's in
- our care, we would deal with through current
- 19 safeguarding practices and process.
- 20 Q. But the person who is making the non-recent allegation
- 21 who was in your care who comes along, what process is
- followed in that scenario?
- 23 A. We follow that through the historical child abuse policy
- and process which is detailed within the statement.
- 25 Q. Can you just help us in simple terms then what happens

- then? If you get an allegation that's categorised
 in that way what happens to that allegation? What does
 the organisation do? Essentially, what does it do
 differently to the process you described for the
 contemporaneous situation?
 - A. Obviously, there are a number of issues within it. One, we will clearly take that allegation seriously and promote the welfare of the person who's making that complaint to us.

We also -- dependent on what the nature of that allegation is there may be some immediate safeguarding actions that need to be taken determining where that child or young person currently is, what he or she is saying about the alleged perpetrator. So we need to be able to safeguard that child or young person with the local authority, police, et cetera.

On the basis of that being an allegation against someone who we have confirmed was -- both that person was in our care and the person they're complaining about was a former or current member of staff, then the immediate action is to refer that matter to the police.

- Q. So it's no different to the other situation, the police get involved at the earliest stage?
- 24 A. Absolutely.

25 Q. Once you've confirmed that the person was a former

- 1 resident -- I suppose you might refer it anyway, if you
- 2 weren't certain.
- 3 A. And we would, yes, clearly.
- Q. Let's suppose you've done that and you have done it
- fairly quickly and you've determined that and you've
- 6 determined that the person that's the subject of the
- 7 allegation has a connection with Barnardo's as a current
- 8 or former employee or volunteer, for example --
- 9 A. Then we would refer it to the police.
- 10 Q. So it's referred to the police, you've got the
- 11 allegation, you've established the person making it was
- in the care of Barnardo's. Can we take, firstly, the
- 13 situation where this person subject to the allegation is
- 14 still employed by Barnardo's?
- 15 A. Still employed by Barnardo's?
- Q. What happens then?
- 17 A. Similar to the process we discussed in the previous --
- 18 Q. Suspension?
- 19 A. Suspension.
- Q. Let the police sort things out and wait until that
- 21 process is completed and then revisit and make
- 22 a decision on the whole matter?
- A. We would have to. We would have to, yes.
- Q. Which could result in dismissal, depending on what's
- 25 made of the matter?

- 1 A. Yes.
- 2 Q. And regardless of what the police determine in relation
- 3 to their processes?
- 4 A. No different to the process we have discussed
- 5 previously.
- 6 Q. Okay. The second situation in that is where the person
- 7 who's the subject of the allegation is a former employee
- 8 or volunteer who worked for Barnardo's in the past. How
- 9 does the process differ?
- 10 A. Well, clearly, again, we would be cooperating with the
- 11 police in terms of releasing information to them,
- 12 et cetera. We would be part of that decision-making
- process. Dependent on what the police were determining
- 14 at that stage, we may make some decisions anyway to be
- 15 looking back retrospectively at our records in relation
- to that person as well. We've got evidence of
- 17 situations where we have done that, where issues have
- 18 arisen, been reported to the police about actions of
- a former member of staff. We've taken -- once the
- 20 police investigations have been completed, irrespective
- of the outcome, we will take our own internal review of
- 22 process at that stage.
- 23 Q. That's what I was going to ask you. In this scenario
- 24 where the former member of staff, the police are
- 25 investigating the matter and, for one reason or another,

- determine not to take any further action in the form
- of -- and there's no proceedings and the matter is
- 3 closed from that standpoint, you as an organisation
- 4 still look at what action needs to be taken by the
- 5 organisation?
- A. That's been our practice in the last two to three years.
- 7 Certainly in my time in this post that's the process
- 8 that we've taken forward, so that we can be clear in
- 9 terms of both lessons learnt from this process for us,
- 10 but also were there times when something was triggered,
- so when something was made aware to us and we didn't --
- 12 Q. Pick it up?
- 13 A. -- yes, trigger a response.
- Q. Or make an appropriate response?
- 15 A. Exactly.
- 16 Q. So you still review the situation regardless of what the
- 17 external agencies have decided?
- 18 A. It's important that we do that for purposes of lessons,
- 19 learning lessons, maybe varying policy and process
- 20 in relation to this. One of the things that we've done
- 21 in terms of varying one of our investigatory processes
- is, for instance, to follow things through in relation
- 23 to this, not to -- because the person's left the
- organisation, the police have decided there's no case to
- 25 follow, drawing the line under it at that stage.

- 1 Arguably, we're not doing a thorough enough job on that
- 2 in terms of the child or young person who's made the
- 3 complaint. But also assuring ourselves if that person
- 4 has moved on to another organisation, are we confident
- 5 that the information that has followed that person is
- 6 appropriate, particularly if they've moved into another
- 7 childcare organisation.
- 8 Q. So you're reviewing the whole matter and looking at the
- 9 person who makes the complaint and has disclosed it to
- 10 you and what action, but also looking at the
- organisation's performance, if you like, historically
- 12 and whether something should have been picked up,
- 13 whatever?
- 14 A. Could we have done better.
- 15 Q. One thing you haven't told me yet is, so far as the
- 16 allegation itself is concerned, since you don't have
- 17 a current employee and you can't submit that person to
- 18 a process if they're alive, do you ever take steps to
- 19 investigate with a view to determining the allegation on
- the balance of probabilities?
- 21 A. We have done, yes.
- 22 Q. Does that depend on whether the person that's the
- 23 subject of the allegation is known to be alive or is
- 24 known to be deceased?
- 25 A. Certainly the incidents that spring to mind as we're

- 1 having the conversation would certainly be people who
- 2 are alive and operating somewhere.
- 3 Q. So you'd still want to make the investigation and form
- 4 a conclusion?
- 5 A. Yes.
- 6 Q. Say you concluded that that person, on the balance of
- 7 probabilities, had abused the complainer in the way
- 8 that is described and they're known to be alive, what
- 9 action would you take in those circumstances?
- 10 A. Then we would be considering making a referral onwards
- 11 to regulatory bodies in Scotland, SSSC --
- 12 Q. Just to alert them to your findings?
- 13 A. I think in terms of being transparent and honest, that
- 14 would be the correct thing to do.
- Q. I didn't ask you this in detail, we've already touched
- on this with the previous witness, but if we go to
- page 95 of the statement, page 9719 of our numbering,
- 18 in relation to historical abuse allegations, at
- 19 paragraph 375, you set out five governing principles.
- 20 A. Yes.
- 21 Q. I think we have heard some evidence already that these
- 22 are principles agreed with other major children's
- 23 charities. So these are common principles that have
- been agreed amongst the five parties to this agreement?
- 25 A. Correct.

- 1 Q. Just to be clear, principle 1 is that:
- 2 "Barnardo's listens to, takes seriously and acts
- 3 in relation to allegations of historical abuse."
- 4 So the first principle is listen, take seriously and
- 5 take action?
- 6 A. Yes.
- 7 Q. The second principle is:
- 8 "Barnardo's promote the welfare of former service
- 9 users who allege historical abuse."
- 10 What is that principle intended to ensure?
- 11 A. Well, I have no doubt you have just touched on this with
- 12 the previous witness. However, the importance of this
- is -- children and young people who have been in the
- 14 care of Barnardo's, if they have suffered abuse then
- we have an organisational responsibility to support them
- and investigate it. Because if they have had
- 17 a negative experience in our care, it's something that
- 18 we have responsibility to own, to investigate, to
- apologise for if it's proven, and to follow them
- 20 through. So supporting them, arguably following the
- 21 Dr Barnardo principle for life is something that
- 22 we would continue to do.
- 23 Q. So the support is not confined to support during the
- 24 processes that might take place following the allegation
- 25 being disclosed, both organisational processes and

- 1 external processes, it's support more generally?
- 2 A. Yes. Hopefully, it sounds like a cliché, but I think
- 3 it's actually reality, an open door to former child and
- 4 young people in our care that's something we've done
- 5 throughout our history.
- 6 Q. Then principle 3 -- I might as well go through them with
- 7 you, just because I don't think we've brought them out
- 8 yet:
- 9 "Barnardo's safeguards children who may currently be
- 10 at risk from alleged perpetrators."
- 11 So when you receive an allegation of this kind, you
- 12 are also considering the safety of children who may
- 13 currently be at risk, whether within the organisation or
- 14 anywhere?
- 15 A. Absolutely. So if we have a sense from the information
- that we are receiving there are children and young
- 17 people in the proximity of that perpetrator, wherever he
- or she is living or working, et cetera, we would report
- that appropriately to the authorities.
- 20 Q. That may mean notifying various bodies of the existence
- of the allegation and any findings you make?
- 22 A. Well, at that stage if we had concerns around the
- welfare of children and young people, we would make that
- 24 child protection referral immediately.
- 25 Q. To whom?

- 1 A. To the local authority in the area where both the $\ensuremath{\mathsf{--}}$
- 2 well, most probably where the perpetrator was working or
- 3 operating or living. But also arguably where the
- 4 complainant was -- to try and give a "what if" scenario,
- 5 so if the person making the allegation had children of
- 6 their own and was saying that the perpetrator was still
- 7 seeking to have contact, then we would clearly want to
- 8 make contact with the local authority in which the
- 9 complainant was living. I hope I've made that clear.
- 10 Q. So you're looking at all the possible children, or
- indeed any vulnerable person who might be at risk, based
- on the allegation?
- 13 A. Yes.
- Q. And you're trying to ensure that that risk is -- you are
- making people aware of the risk and that some steps are
- 16 taken to assess if there's a current risk?
- 17 A. Yes. The safety principle is paramount.
- 18 Q. Principle 4 is:
- 19 "Barnardo's shares information carefully and makes
- 20 decisions based on legal and best practice
- 21 requirements."
- 22 I just want to be clear: is the underlying rationale
- 23 that it's important that information is shared with
- 24 relevant agencies but whilst taking account of all
- 25 relevant legal rights?

- 1 A. Absolutely, yes.
- 2 Q. I know it's a tricky balance, but I think we know what's
- 3 there. Obviously there are various rights in play here.
- 4 A. Yes.
- 5 LADY SMITH: Can you confirm that decisions in that
- 6 principle refers to decisions about whether or not to
- 7 share information or is it meant to go wider than that?
- 8 A. No, it's the former, not the latter.
- 9 LADY SMITH: I thought so. One interpretation of it could
- 10 be the latter. Thank you.
- 11 MR PEOPLES: I suppose historically one issue that may have
- 12 arisen is the extent to which organisations who receive
- 13 allegations, whatever they do internally, have not
- 14 always shared the allegations or any findings that they
- made in relation to them with other agencies
- 16 historically. I'm not asking you to comment on
- 17 specifics, but I think that's something that must be
- 18 within your general knowledge, that these situations
- 19 have arisen.
- 20 A. Yes. That will and has been the case in the past.
- 21 I would hope, and certainly in terms of Barnardo's, that
- it wouldn't be current practice.
- 23 Q. And the idea is you share the information with people
- 24 who need to know or should be informed, having regard to
- 25 obviously --

- 1 A. Yes.
- 2 Q. -- any legal requirements?
- 3 A. My own personal view on this is that safeguarding trumps
- 4 everything else when it comes to this matter and that's
- 5 the view I think that's held within the organisation.
- 6 Q. So rather share --
- 7 A. Share and take the consequence, frankly, rather than
- 8 share and take a consequence of harm to children and
- 9 young people.
- 10 Q. Don't make fine legal judgements and if it's important
- 11 to share, get the information out?
- 12 A. That's my view.
- 13 Q. Okay. That's the current approach?
- 14 A. Yes.
- Q. Principle 5, I think, is perhaps directed at a slightly
- 16 different situation:
- "Barnardo's provides information to ex-staff members
- 18 about the process of investigations."
- 19 Is that a principle that's really meant to ensure
- 20 the interests of the person accused are taken proper
- 21 account of?
- 22 A. Absolutely that, yes.
- 23 Q. So these are common principles that you and some other
- 24 major charities have agreed are appropriate principles
- in this context?

- 1 A. Yes.
- 2 Q. Can I come back to a matter which we touched on before,
- 3 and it's the concept of serious safeguarding incidents.
- 4 We've left complaints to one side at the moment, whether
- 5 non-recent or recent or contemporaneous. This embraces
- 6 what? What's intended -- what's the concept of
- 7 a serious safeguarding incident or an SSI, as I think
- 8 it's called sometimes?
- 9 A. That's our acronym for it.
- 10 Q. We can use that. I don't want to keep using the phrase
- all the time. SSI: what's the concept?
- 12 A. It's important in answering this that I contextualise.
- 13 We spoke earlier on about decisions that were made in
- 14 2016 to do a fundamental review of our safeguarding and
- reporting policies. One of the decisions that we took
- at that stage is that we wanted to -- we had previously
- had a reporting process internally which was both for
- those matters which were determined as serious
- 19 safeguarding incidents -- and I will explain what I mean
- 20 by that in a minute -- and the allegations were all
- 21 reported in one format. It was really a one size and it
- 22 really didn't fit anybody particularly well.
- 23 What was clear from the work that we did internally
- 24 at that stage was that there was a confusion within the
- 25 organisation and employees within the organisation as to

what areas they should be reporting and in what format.

So we made a decision at that stage to split. We split it into a process for allegations against adults who work for and on behalf of Barnardo's, which clearly is a serious safeguarding incident per se, and I'll come back to that in a minute as well. Then other areas which are outwith the day-to-day child protection concerns that any of our services will be dealing with and which will be managed through our safeguarding policies and procedures as the inquiry has had sight of.

However, there are clearly a number of areas where the safeguarding concerns are of sufficient severity that, for a number of reasons, they need to have a more senior oversight within the organisation. Senior oversight through myself and then senior oversight, as appropriate, up through the structures within the organisation, and arguably to external regulators as well.

So we have determined a number of broad category areas of which we determine serious safeguarding incidents. Clearly that doesn't mean that any safeguarding incident is not serious. Any incident is serious. Our procedures are very clearly on the responsibilities that staff have to report and to take every child protection concern and allegation seriously.

- 1 However, there are areas which we determine that should
- 2 be internally escalated through our SSI process.
- 3 Q. Right. Can I ask you then, with that background and
- 4 explanation, just to be absolutely clear on the question
- of what constitutes an SSI.
- 6 A. Yes.
- 7 Q. Does it include all occasions, for whatever reason or
- 8 cause, a child suffers harm or injury whilst in the care
- 9 of Barnardo's or the residential -- let's talk about
- 10 child in residential care. If they suffer harm or
- injury, whatever the reason or cause, would that always
- 12 be an SSI situation?
- 13 A. In the residential situation, and indeed in the family
- 14 placement situation, yes, it would.
- 15 Q. Okay.
- 16 A. The reasons for that is because, arguably, the injury to
- 17 that child or young person could be as a result of the
- 18 fault of the individual member of staff, the carer
- 19 responsible for that child. Could arguably be.
- Q. Yes. The injury might not be intentional but it could
- 21 be the result of a bad practice, for example?
- 22 A. It could be bad practice.
- Q. Or inappropriate practice?
- 24 A. Breach of policy, potentially inappropriate management
- of a particular situation in terms of managing

- 1 challenging behaviour, et cetera. If it was use of
- 2 restraint, restrictive physical intervention, then
- 3 absolutely it would result in an SSI.
- 4 Q. So restraint situations which result in injury are SSIs?
- 5 A. Anything that requires -- anything by which a service
- 6 ... has been required to issue a restrictive physical
- 7 intervention in order to manage a situation would result
- 8 in an SSI being reported. Certainly anything which, as
- 9 I think you said, created an injury or something,
- 10 absolutely.
- 11 Q. Maybe just seeing if -- is there a necessity for injury
- 12 or harm? What if there's a restraint situation where
- 13 restraint is used but there's no clear or obvious
- injury? Is that an SSI?
- 15 A. So the behaviour management policy, which determines how
- those are managed, also says very clearly when
- 17 a restrictive physical intervention is used as part of
- 18 restraint, then an SSI should be completed as well, and
- 19 that is the case.
- 20 Q. So really, the injury clearly that raises an extra
- 21 consideration, but restraint itself is an SSI situation?
- 22 A. Because we want to have an analysis of, thankfully, in
- 23 modern type practice, the fairly limited times when it
- has to be used, but we need to know where it is being
- used, the reasons why it's being used, it's the lessons

- again to be learned from this. Is it about lack of
- 2 understanding of individual members of staff in the
- 3 training that they've received? Et cetera. There will
- 4 be a range of issues. It needs to have senior
- 5 management oversight as well.
- 6 Q. Again, just so that we're clear about the scope of the
- 7 SSI, I think you've said this, but I just want to be
- 8 absolutely clear, that an SSI would include all
- 9 allegations of ill-treatment or abuse alleged against
- 10 members of staff in a residential care setting?
- 11 A. Yes.
- 12 Q. Or volunteers in that setting?
- 13 A. Either.
- Q. If the abuse happened to a child or young person in that
- setting but the alleged abuser was someone who is an
- 16 external adult, whether it took place in the setting or
- outwith the setting, would that be an SSI?
- 18 A. Absolutely.
- 19 Q. So all these situations would be covered?
- 20 A. Yes.
- Q. And would be part of the process?
- 22 A. Yes.
- Q. And in terms of what the implications of being an SSI
- are, is the process such that such incidents must be
- 25 reported within 24 hours?

- 1 A. It is.
- 2 Q. Where they are reported within the time limits, what
- 3 happens after that? Will you always get a report on
- 4 an SSI?
- 5 A. Well, I always get a report on an SSI, absolutely. They
- 6 all come through me.
- 7 Q. So you will always be assessing each SSI incident and
- 8 judging what actions are being taken or what further
- 9 actions need to be taken and so forth?
- 10 A. I do.
- 11 Q. So it is not just left to those below you to determine?
- 12 A. No, I see them all. Others may view I'm somewhat
- controlling in respect to that, but I do and I think
- it's the right thing to do.
- Q. Are there a range of responses to reports of an SSI that
- 16 you would consider when you see them? Can you give us
- an idea of the sort of responses?
- 18 A. So I will determine whether the immediate actions are
- 19 the appropriate ones that have been taken. So for
- 20 instance, if there's been a injury to a child or young
- 21 person, has the necessary medical treatment been
- 22 provided? Has hospitalisation occurred if necessary?
- 23 Have the authorities been informed? If that child has
- 24 a social worker -- and arguably in the residential
- 25 situation they would have -- the local authority

1 social work department has been informed as well.

Alongside that, if it's a significant allegation against a member of staff of ill-treatment, what immediate steps have been taken? I'd be doing that kind of, if you will, initial analysis of the outcomes, immediate outcomes, from the actions that have been taken, with obviously the eye to has the welfare of that child or

that.

Then we --

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Q. Are you assessing the risk that that person might pose?

young person been properly considered in respect of

- 12 Well, absolutely assessing risk that that person might Α. 13 pose. So we may be saying at that stage, if you haven't 14 already, then we need to have that strategy meeting I spoke about earlier, strategy discussion to determine 15 the next stages. If it's an investigation against an 16 17 allegation rather against a member of staff, what are we 18 doing? So have you suspended that member of staff, when are they next on on shift, what's your intention if 19 20 you haven't suspended them in terms of being next on 21 shift, et cetera? Just so we are clear in terms of 22 those immediate next steps.
 - Q. So there could be a process of investigation and disciplinary hearings and various actions, suspension and all that kind of thing in the context of an SSI,

- depending on the nature of it?
- 2 A. Yes.
- 3 Q. But you're considering other actions including the risks
- 4 that might be posed by the particular incident?
- 5 A. Yes.
- 6 Q. If it is involves a member of staff where there's some
- 7 safeguarding concern, a serious safeguarding concern,
- 8 is that automatically reported to the SSSC, the Scottish
- 9 Social Services Council, who regulate the workforce?
- 10 A. I am not confident enough to say to you at that point of
- 11 the initial SSI that it would have been done. But
- 12 certainly if it hadn't been done by the time of that
- 13 report, I would be saying, for instance, "You need to
- 14 report this to the SSSC". If it is in a regulated
- 15 establishment to the Care Inspectorate (Scotland),
- 16 et cetera. Any other regulatory bodies that need to be
- 17 involved, they need to be involved at that stage.
- 18 Q. So the system -- we've already talked about reporting to
- 19 the police. The arrangements are such that you have to
- 20 then look at what other bodies either expect or perhaps
- 21 require you to notify them. So do the
- 22 Care Inspectorate, if it is a residential care
- 23 establishment, and the SSSC, if it's a worker that's the
- 24 subject of the incident, do they both require Barnardo's
- 25 to notify them of all incidents?

- 1 A. Care Inspectorate certainly require us to notify them of
- 2 those. SSSC probably require more at the point of a
- 3 final outcome. The expectation in terms of good
- 4 practice would be something I would --
- 5 Q. Would you tend to notify even before you reach an
- 6 outcome?
- 7 A. Yes, the default position would be that.
- 8 Q. And do these bodies tend in these situations to involve
- 9 themselves at the initial stage or do they hold back and
- see what you do?
- 11 A. The latter most probably. In terms of
- 12 Care Inspectorate, I think they would be more -- if this
- was a pattern of reporting from a particular unit and
- 14 perhaps in previous inspections they'd had concerns
- around safeguarding practice and that had been
- 16 referenced, I'm sure they would be much more proactive
- 17 than if it was perceived as, for want of a better
- 18 expression, an isolated incident.
- 19 Q. But I suppose this system of both the reporting within
- 20 the organisation of SSIs but also the notification to
- 21 external bodies like the Care Inspectorate or SSSC
- 22 allows not just you to build up a general picture of
- 23 what's going on within the organisation and can analyse
- that and see if there's any disturbing trends --
- 25 A. Yes.

- 1 Q. -- but it provides those external bodies with
- 2 intelligence that allows them to carry out their work
- 3 more effectively and carry out the inspections and
- 4 regulation in a more effective way? Is that the
- 5 thinking?
- 6 A. Absolutely, and hopefully a degree of assurance in us as
- 7 an organisation that we are responsible and understand
- 8 our safeguarding responsibilities as well as the
- 9 regulatory requirements.
- 10 Q. You mentioned restraint, which could -- would generate
- an SSI. I think you said in passing that the
- organisation does have a specific policy on restraint --
- or does it? I'm sorry.
- 14 A. No. We have a behaviour management policy with --
- Q. Can you just help me: I take it that's one of the ones
- that has been submitted?
- 17 A. It hasn't been submitted.
- 18 Q. I just wanted to know.
- 19 A. No.
- 20 Within that -- and bear with me, it's not a policy
- 21 I'm directly responsible for --
- 22 Q. Don't worry, just tell us what you --
- 23 A. -- so I'm paraphrasing what my understanding of it is.
- 24 Let's look at it in terms of a residential unit.
- 25 A residential unit would be expected to have both a unit

- 1 behaviour management policy, which would be determined
- 2 by the needs of children and young people, the
- 3 parameters of a unit, if you will, in terms of the kind
- 4 of children and young people it would be looking after.
- 5 Alongside that there would be the individual behaviour
- 6 management programmes/plans for those children and young
- 7 people, clearly very much determined by their own
- 8 particular needs.
- 9 Both of those internal procedures would be signed
- off by the relevant assistant director covering those
- units, and they are reviewed annually or more frequently
- in terms of the individual plans determined on the needs
- of the child or young person.
- Q. But do you keep an eye on these individual behaviour
- management plans or policies as well as the unit
- policies as part of your safeguarding responsibilities?
- 17 A. Not at present.
- 18 Q. Are you going to be doing so?
- 19 A. The behaviour management policy is under review as we
- speak and, as you'll understand, having given it
- 21 somewhat of a fresh eye in recent days in preparation
- 22 for today, it clearly came to mind that there is some
- 23 work to be done between the two elements of it --
- 24 Q. So there may be a connection between the two functions
- and it may be relevant for you to know some of these

- 1 things to --
- 2 A. I do know some, but I absolutely don't -- I only know
- 3 the ones currently that meet the SSI criteria. What
- 4 I don't know is the quality of what is in the units.
- 5 Q. Okay. I don't want to press you too far on this, and
- I appreciate you're giving these answers with a degree
- of caution and I fully understand that, but is it your
- 8 understanding, however, that those who have powers to
- 9 use restraint under these various arrangements receive
- 10 appropriate training --
- 11 A. Yes.
- 12 Q. -- in restraint?
- 13 A. Yes.
- Q. Does that include use of appropriate restraint
- 15 techniques?
- 16 A. It does. So the Scotland services, Barnardo's Scotland
- 17 services, work to a programme -- the acronym is CALM,
- 18 crisis and aggregation (sic) limitation management.
- 19 I think it's a form very well used across Scotland.
- 20 I think in fact that's where its genesis -- its genesis
- 21 is within Scotland itself. It is a modular programme in
- 22 terms of training for staff. They receive two days of
- 23 theory and then a further two days which is very much
- 24 practice based, during which time they literally
- 25 practice a range of interventions.

- 1 They have to -- that is reviewed every 12 months.
- 2 They also have to evidence in between time that if they
- 3 haven't had cause to use that in the course of their
- 4 work, they have practised it and they're able to
- 5 evidence that as well.
- 6 Barnardo's Scotland has three members of staff who
- 7 are qualified CALM trainers and they keep -- I know,
- I have seen they keep records of staff in the units
- 9 where this form of training -- sorry, intervention is
- 10 used.
- 11 Q. Can I move to something different, whistle-blowing. You
- tell us at paragraphs 398 to 403 about -- there is
- 13 a whistle-blowing policy that's part of the
- 14 organisational policies, is that correct --
- 15 A. Correct.
- 16 Q. -- that allows individuals to come forward with concerns
- 17 to the organisation.
- 18 The policy, do I take it -- you tell us there is, as
- 19 part of your processes, a whistle-blowing hotline for
- 20 those who want to raise concerns anonymously. But the
- 21 policy itself that you discuss is perhaps more
- 22 envisaging someone who reveals themselves and makes
- 23 known the nature of the concern. Is that what the
- 24 policy tends to apply to?
- A. Yes. We have made some quite recent changes to our

whistle-blowing policy, largely as a result of the
issues that arose from the kind of Oxfam and Save the
Children areas and therefore, in particular in England
and Wales, the Charity Commission's interest in
organisations having good solid whistle-blowing
policies, and obviously in Scotland as well.

As a result of which, in terms of that hotline, that is now triaged by an independent organisation, which -- the reason for doing that is fairly self-evident, that people -- there was a previous concern that people felt if they did reveal themselves and their identity in a hotline that was still part of the organisation, they would probably be less willing to actually say what their worries and concerns were.

It's very new, it's only just been implemented in terms of a change of policy, but the view is that that will be a positive opportunity for people to feel confident that if they raise a concern, their anonymity will be preserved subject to any reasons why it wouldn't be, if you see what I mean.

- Q. I suppose they can still -- it's still up to them whether they reveal themselves at any stage in the process, but it makes the organisation aware of the concern?
- 25 A. Yes.

- Q. And that can lead to investigation of the concern, can
- 2 it --
- 3 A. Yes.
- 4 Q. -- whether there's a person who's identified or not?
- 5 A. Absolutely.
- Q. And that would happen, would it?
- 7 A. Yes.
- 8 Q. In all cases?
- 9 A. Otherwise it's a fairly toothless being, isn't it?
- 10 Absolutely.
- 11 Q. Is this in some ways 33 years on from Childline for
- 12 staff in care settings? It's not dissimilar.
- 13 A. Possibly so. I'm only hesitant in my reply, really,
- 14 because I think organisations have had whistle-blowing
- policies to varying degrees for some time. I think the
- 16 revelations of Oxfam and Save the Children have probably
- 17 made people look at them with a fresh pair of eyes and
- 18 tried to make them as robust and rigorous as possible.
- 19 Maybe previously they were more about style over
- substance perhaps.
- 21 Q. Well, this policy you have indeed, which is just
- 22 undergoing review, was it April 2015, so it's been in
- place for a while, and in the years it's been in place,
- how well used has it been, this process of raising
- 25 concerns and identifying oneself, having the issue

- investigated in the way that you've described? How well
- 2 used has it been?
- 3 I can only answer that in terms of those matters that Α. have been passed to me in the last couple of years in 4 5 terms of safeguarding. The honest answer is fairly limited in terms of that. I would probably say no more 6 7 than a handful of safeguarding concerns have been put 8 there. So you could view that in one of any number of 9 ways, I guess. In terms of the organisation's desire to 10 have a transparent and open culture where people feel 11 able to report both safeguarding concerns, but concerns 12 around harassment, bullying and that, there's been 13 significant work done within the organisation to profile 14 the importance of whistle-blowing and why the 15 organisation at a senior level and the trustees view that as an important outlet for people to raise their 16 17 concerns.
- Q. I suppose it's too early to say whether the hotline
 approach is more likely to be more effective because
 it's just a new approach.
- A. Certainly in terms of the independent triaging that
 I talked about, yes.
- Q. Just in terms of the whistle-blowing process, I was
 a little puzzled. Someone raises a concern as
 a whistle-blower in the public interest or in the

- organisational interest, whatever, in the interest of
- 2 the service users. You described that there would be
- 3 a process of investigation of the matter that's raised
- 4 by the organisation.
- 5 A. And that currently is done through our corporate audit
- and inspection unit, internal but one step removed from
- 7 operations.
- 8 Q. That investigation, once completed, would result in some
- 9 form of findings on the matter. I wasn't quite sure,
- 10 but the findings are then communicated to the
- 11 whistle-blower?
- 12 A. Yes.
- 13 O. And who else?
- 14 A. And whoever needs to be, dependent on what the nature of
- the whistle-blowing concern is.
- Q. You say at paragraph 402, in relation to findings that
- 17 have been reached, there's a right of appeal to a senior
- responsible manager. By whom?
- 19 A. Well, by either. Okay, so if the person who's made
- their concern raised on the whistle-blowing doesn't feel
- 21 that the issue is being dealt with fully, appropriately,
- then they have that right of appeal.
- 23 Q. So if there are a number of individuals that are
- 24 identified within the concern, both the person raising
- 25 the concern and others who may be the subject of

- 1 concern, whatever the nature of the concern, they all
- get to hear about it, the investigation looks at the
- 3 matter, and there's a right of appeal that's available
- 4 to all? Is that it?
- 5 A. It may have gone through formal disciplinary processes,
- 6 mightn't it, at that stage? It might be a collective --
- 7 given again that -- a bit like how the complaints policy
- 8 is quite generic, it could be members of staff raising
- 9 a collective grievance against someone, an individual,
- 10 whoever. So, yes.
- 11 Q. I was going to say, it goes in one door but it might go
- in another door depending on what happens next and what
- the nature of the concern --
- 14 A. And more likely than not will go down those other doors.
- Q. Because some of that has got shades of a grievance
- process either against the staff or against a practice
- or against some other matter, rather than
- 18 a whistle-blowing in itself situation -- and you've got
- 19 a grievance process I take it?
- 20 A. Yes, we have got a grievance process. This is about an
- 21 organisation having hopefully an open culture where
- 22 people can feel confident to raise their concerns in
- 23 some form that they feel the most confident and maybe
- they don't feel sufficiently able to do it through
- a grievance process.

- 1 Q. I think someone said to us, I'm sure, I can't remember
- 2 who it was, that that's an asset to an organisation for
- 3 people to come and tell you the problems and concerns.
- 4 A. One of our elements of our strategy is that we're
- 5 a learning organisation. If we can't learn from things
- 6 like this, then we're not a very good learning
- 7 organisation.
- 8 MR PEOPLES: I have one other matter to cover, so I think
- 9 this is a good time to stop for lunch.
- 10 LADY SMITH: Certainly.
- 11 Could you just go back to page 96? It may be
- 12 quickest to do it on the hard copy. It's
- sub-paragraph (d) in paragraph 376, which started on the
- 14 previous page:
- "All allegations should be recorded and passed as
- quickly as possible to ..."
- What's the end of that sentence?
- 18 A. The head of corporate safeguarding, my apologies.
- 19 LADY SMITH: Thank you.
- 20 A. I did notice that this morning myself.
- 21 MR PEOPLES: Sorry, I should have noticed that. Thank you.
- 22 LADY SMITH: So the head of corporate safeguarding. I did
- 23 wonder where the allegation was being passed. Thank you
- very much.
- 25 I'll rise now for the lunch break and sit again at

- 1 2 o'clock. 2 (1.03 pm)3 (The lunch adjournment) (2.00 pm)4 5 LADY SMITH: Good afternoon. Are you ready to carry on? 6 Α. Thank you. 7 LADY SMITH: Mr Peoples. MR PEOPLES: Good afternoon, David. Can I turn to the issue 8 9 of safeguarding and protecting children in the sense of 10 preventative measures. I'm going to focus on recruitment and selection, but I wanted to raise a more 11 12 general point with you, which I don't think I did cover 13 this morning. On page 97 of the statement, page 9721 of our 14 15 numbering, you make reference there to a specific policy, a "safeguarding and protecting children policy 16 and procedure (children's services)", that is dated 17 18 February 2018. Do you see that? Which paragraph are you in? Page 97, thank you. 19 Α. 20 It's just the heading there. The paragraphs that Q. 21 follow, I think, discuss that particular policy. Again,
- I don't want to go through with you the detail, but
 I suppose a question that arises, to just try to put
 some kind of understanding of how the policy operates
 is: what does the organisation do in practice to protect

1	children in residential care from ill-treatment or abuse
2	by staff or others, the sort of child protection
3	arrangements in the sense of preventative or protective
4	measures? Are you able to give us a general flavour of
5	the sort of things that the policy that represents
6	the practical application of the policy?

- A. Clearly, some of that will be in recruitment and selection, which we'll talk about in a moment.
- 9 Q. Leave that aside because I will deal with --
- 10 A. Of course.

So the other issues are clearly about once staff are in our employment, the support that we give to them to make them competent and able to work within the safeguarding arena. For instance, once they are working with us, there are -- and it determines it within the policy -- there are key training requirements they have to complete. All staff generically in the organisation, irrespective of role, complete an e-learning safeguarding module within the first couple of weeks of being within in the organisation. But then more specifically into children's services, there's a range of training, different modules of training, which staff are required to undertake and complete pertinent to their roles.

Certainly for practitioners within residential

services, there would be an expectation of them completing what we call the core 1 and 2 courses, which would be about in particular how they understand their responsibilities in respect of safeguarding, how they recognise signs and symptoms, for want of a better word, in terms of children and young people, employees and their responsibilities to report -- review, report, record the concerns that they have.

Another key element of this is clearly -- I've talked about support -- more clearly supervision that they have. So we have very clear supervisory arrangements that relate to staff.

In respect of safeguarding every supervisory session should have a clear recorded area that relates to safeguarding. That may be talking about particular cases of concern, eg there's a worker he's working with, it may refer to particular incidents in the residential setting that they have managed and what they have learned from that, what they might have done better. So some reflective practice, if you will, through those forums as well.

And within the unit and again across all our services there is an expectation that within team meetings, team training, there is a specific, again, recorded item that deals with safeguarding. That may

- 1 well be an opportunity to focus some time and attention
- on a particular area of, perhaps, change to policy,
- 3 something that needs to be cascaded down to the staff
- 4 group. But it may also be a real opportunity for them,
- 5 as a staff team, to talk around on a much more local
- 6 level, lessons learned from managing particular children
- 7 and young people, particular situations, again what
- 8 could they have done better, what could they have done
- 9 differently, et cetera. So I think that hopefully gives
- 10 some sense and flavour of the expectations.
- 11 Q. So that's a mixture of obviously training, structured
- training programmes that are requirements, really,
- including for residential care workers in the units that
- are still operating in Scotland? That's one aspect and,
- of course, there's also the aspect of these meetings --
- 16 A. Yes.
- 17 Q. -- and that effectively safeguarding is like a standing
- 18 item or standing matter for discussion?
- 19 A. Yes.
- Q. And I think you said that in relation to what might be
- 21 termed risk management or behaviour management policy,
- 22 am I right in thinking you said you'll have an
- organisational policy in general terms, you have a unit
- 24 policy, and then you have individual management policies
- or plans?

- 1 A. Yes. So in relation to residential care in particular,
- 2 it would have a unit policy which would determine the
- 3 methodologies that you use, the expectations, the dos
- 4 and don'ts, the limitations on it, and then individual
- 5 ones pertinent to the needs of that child or young
- 6 person.
- 7 Q. So in a sense, the individual one would be a bit like
- 8 part of or an aspect of a care plan, a management plan?
- 9 A. Yes.
- 10 Q. And it would set out the particular needs, the actions
- 11 that would be required to meet those needs, and this
- would be regularly reviewed and changed if necessary?
- 13 A. Absolutely, regularly reviewed, both in terms of the
- 14 statutory review process but also on an ongoing basis,
- dependent on behavioural episodes during that period.
- Q. And of course you've got the statutory regime in place
- 17 as well in terms of the Care Inspectorate, for
- 18 example --
- 19 A. Yes.
- 20 Q. -- that would operate and can come in at any stage?
- 21 A. Indeed.
- 22 Q. You mention, I think at paragraph 383, just specifically
- 23 you mention -- I think it's there that you mention the
- 24 safeguarding code of conduct.
- 25 A. Yes.

- 1 Q. Just help us -- just explain what that code is and how 2 it fits into the processes.
- A. It is something that I am probably going to mention
 again probably when we talk about recruitment and
 selection, but so be it.
- It is something -- it's a, if you will, another part

 of the recruitment checklist at the point of a person

 joining the organisation, in a facilitated session with

 his or her manager, they will work through the

 safeguarding code of conduct. The safeguarding code of

 conduct determines responsibilities both of us as an

 employer to the employee --
- 13 LADY SMITH: David, can you slow down slightly?
- 14 A. I will do my best, apologies.
- 15 LADY SMITH: There's a lot of information to take on board

 16 here, which is I'm sure second nature to you, but it's

 17 new to some of us.
- 18 A. Apologies.
- 19 LADY SMITH: Thank you.
- 20 A. It references the responsibilities of us as an employer
 21 to the employee in terms of how the -- and we will
 22 support individuals through reporting any kind of
 23 safeguarding concerns. It's also a further opportunity
 24 for any employee to advise us of anything within their
 25 personal or professional history that hasn't previously

been made aware through any of the recruitment process,

which may or may not determine their suitability and

also that they feel something we would want us to know

about them as an individual before they enter our

employment.

So for example, it could be that within the wider family circle of someone joining us, there has been a child protection concern, there may have been some intervention by the local authority. In all probability, it will not directly impact on their suitability to work for us, but equally, if we didn't know about it in that early opportunity -- and the facilitated conversation is absolutely to make sure that this is not prejudicial unless it's something of such significance that would make us reconsider an employment offer -- it's a real opportunity for people to say, "Yes, we understand what both our expectations -- what the expectations we can have of you as our employer, but more particularly what our responsibilities as an employee or a volunteer" -- all members of staff irrelevant respective of their position in the organisation sign that.

- 23 MR PEOPLES: At the point of recruitment?
- 24 A. At the point of recruitment.

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25 Q. The code itself or what the code expects builds in that

- there is a discussion at the pre-employment stage?
- 2 A. It's done at the point of, if you will, the induction
- 3 part of the pre-employment process. And it's a tool
- 4 that's proved eminently helpful, I have to say, in
- 5 circumstances when issues have subsequently arisen and
- 6 they have not been openly stated to us at that time,
- 7 then clearly we have the evidence that a person has
- 8 understood what they've signed at that stage.
- 9 Q. How long has this code been in use?
- 10 A. I think probably in its third year of iteration. Again,
- 11 we review it in terms of content. We reviewed it, the
- most recent one, the most recent review would have made
- details of -- issues in relation to preventing
- 14 radicalisation, for instance. So at any point when we
- make a significant change to it, we re-circulate it, so
- it's freshly signed by all employees if there are
- 17 significant changes.
- 18 Q. Although it's relevant at the stage of employment and
- 19 induction, it is a living code that has to be
- 20 continually adhered to by all staff?
- 21 A. Yes. It's a live document by which people's behaviour
- in terms of safeguarding is judged.
- 23 Q. Am I right in thinking -- I'm not sure I saw that as one
- of the appendices, we may have it -- but it is
- a free-standing document?

- 1 A. It's a free-standing document and if you haven't -- the
- 2 inquiry could have it --
- 3 Q. I may well have it, but I just wanted to check. It is
- 4 a free-standing document?
- 5 A. It is a free-standing document.
- 6 Q. The content of the code, is that something that is
- 7 derived from Barnardo's own development of a code or is
- 8 it something a bit like the common principles? Is it
- 9 a code that is in general use or at least similar to
- 10 codes in other organisations that carry out a similar
- 11 function?
- 12 A. You will have -- it certainly has similarities with ones
- 13 I've seen in other organisations, but equally, we've
- 14 been asked to provide it for many other organisations as
- well as a model of good practice.
- 16 Q. It's not a state code or a national code that's been
- issued by a central body?
- 18 A. No. We have developed it in terms of the content that
- 19 we use in the organisation, but it will have elements,
- 20 I'm sure, that are comparable in other organisations.
- 21 Q. But it will have regard, I take it, in its principles or
- 22 provisions to, say, for example, national care standards
- and things of that nature that have evolved since 2000?
- 24 A. Yes.
- 25 Q. That is the more general issue of safeguarding. As you

1	say, and indeed you've explained, one matter that no
2	doubt I would have asked you about, that if we go to the
3	stage of recruitment, which does raise safeguarding
4	issues and considerations, and therefore I think that's
5	probably why you're here to tell us a bit about it
6	today. I think that so far as the statement is
7	concerned, you make reference on page 101, I think the
8	final page thereto, paragraph 404, to recruitment and
9	selection procedures.

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Do you have that there? I think you say there is a policy document on that matter and I suppose the purpose -- I'm going to do the same as I did this morning and I'm going to say, can we take simply a situation and you can talk me through it as to how it would operate.

Let's suppose we have a person who's applying for a residential care worker post, not a senior post, just one that's in the front line. So they're coming forward for a post in a residential unit that's operating at present.

First of all, can I ask you this: qualifications. Are they essential, desirable, or not a requirement at all?

A. So it will be dependent on the specifics of the person specification for the job under consideration. So in

1	line with most other organisations, each job we are
2	recruiting to has a job description and a person
3	specification. Within the person specification will be
4	a determinant of whether a qualification for that
5	particular role is essential or desirable. Within
6	a residential setting, qualifications could be
7	relatively wide in terms of consideration, so from
8	social work to youth work, teaching, education,
9	community work.

Not all roles as a basic practitioner in a residential setting would necessarily be viewed as having a formal qualification as an essential. But it would be desirable and there would certainly be an expectation of relevant experience in the work of working with challenging behaviour, children with particular behavioural needs, et cetera, et cetera.

So each job will be in terms of those essential requirements of qualification or experience -- would be viewed and assessed at the point of going on to the recruitment possibilities.

- Q. Is there a sort of general post of some sort of basic grade residential care worker that still exists in the structure of the organisation?
- A. Not as such. Our posts are helpfully or unhelpfully determined as project worker posts, project workers 1 to

1	3, 1 being that which is the least experienced. That's
2	the wrong phraseology, but the lower ranked post, if
3	you will, through to project worker 2, and project
4	worker 3 would be what we would probably determine as
5	senior practitioner. Those kind of posts would appear
6	within the residential setting and there would be an
7	expectation in terms of those roles that they would have
8	a qualification as they would have some line management
9	responsibility within the unit.

- Q. You'll be aware we've had a discussion of the historical position about use of unqualified residential care workers, particularly in junior posts. How would you describe the situation now in relation to that? Are unqualified people used in project worker 1 posts?
- A. There will be people in those posts who do not have that formal experience. This is not to defend that position, but clearly, the recruitment market in certain geographical areas of Scotland will be more problematic than in other areas. For instance, two of the residential units are in the north of the country in Aberdeen and Inverness, and I know factually that they struggle to have a suitable recruitment pool for those particular units.

So there has to be some pragmatism between qualification and relevant experience, and also

- 1 capabilities within staff which can be further tested
- 2 outwith the recruitment process.
- 3 Q. I'll maybe ask about experience, but sticking with
- 4 qualifications for the moment. Project worker 2
- 5 level --
- 6 A. They may well have qualifications.
- 7 Q. But not always?
- 8 A. But not always.
- 9 Q. And project worker 3 level?
- 10 A. Would have.
- 11 Q. And would the qualifications at these levels be specific
- 12 to residential care work with children with challenging
- behaviours, complex needs, or would they be a more
- 14 generic qualification?
- 15 A. They'd be more generic because, to the best of my
- 16 knowledge, such a qualification that is specific to
- 17 residential social work doesn't exist and hasn't for
- 18 some particular time.
- 19 Q. Am I correct in thinking that all of these levels of
- 20 worker who would be -- would be, though, caring in
- 21 a practical sense for children with perhaps challenging
- behaviour and complex needs of a variety of kinds? They
- 23 would be in direct care?
- 24 A. They would absolutely be part of the staff pool within
- any unit managing that behaviour.

- Q. And they would have to be registered with the Scottish Social Services Council?
- 3 A. As I understand.

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- Q. But the Scottish Social Services Council at present, do
 they require any of these three levels to possess some
 minimum qualification?
- 7 A. I couldn't confirm that from my own knowledge, I'm
 8 afraid. I don't know the answer is the honest answer to
 9 your question.
- 10 Q. I suppose it might follow that you if you do employ
 11 those that don't have any qualification, it might
 12 suggest the regulatory body does not at present require
 13 those roles to have formal qualifications.
 - A. Well, I would assume the same on the basis that clearly those units are inspected by the Care Inspectorate and that has not come up as a recommendation from any of the inspections of our residential units in Scotland.
- Q. So maybe that's still something to be addressed, the requirement for qualifications in residential care settings?
- A. Or how that particular area of work is best addressed in the qualification opportunities that are available for people as a particular module or area to focus upon.
- LADY SMITH: David, let me ask you this: do you think that having a childcare qualification will mean that it is

- 1 less likely that that person will abuse a child? 2 Α. No. 3 LADY SMITH: Why not? Because we've got sufficient evidence, sadly, that 4 Α. 5 people who have had formal qualifications are as likely -- not more likely, but as likely -- to be 6 7 abusers as unqualified staff. I think the key 8 determinant for me is that proven ability to empathise 9 with the needs of challenging -- children and young 10 people in the care system and be able to work with them. I apologise, I sat in on Sir Roger's evidence 11 12 yesterday and many of the issues he raised in that 13 yesterday about what makes a good unit is exactly the 14 same principles as I would believe would make a good 15 residential worker: the opportunity for those open conversations, having an understanding of where children 16 17 and young people are at, et cetera. 18 Clearly, a qualification is helpful. Does it make you a better worker? I'm not convinced. 19 20 LADY SMITH: Thank you. That's very helpful. 21 MR PEOPLES: Just let me explore that with you a little bit 22 further. If you have someone who's not qualified and not appropriately trained, that's not a state of affairs 23
- 25 A. That's a very general statement.

that you'd find acceptable?

- 1 Q. I think you know where I'm going with this. You told us
- 2 all workers, I take it, in these project levels would
- 3 receive this structured training appropriate to the job
- 4 they're doing.
- 5 A. Yes.
- Q. That's the position now?
- 7 A. That's in-house, that's supportive training, that's
- 8 opportunities that we provide and create for people
- 9 internally and externally as part of their employment
- 10 with us. That's part of being a good employing
- 11 organisation.
- 12 Q. I follow that. Obviously in one sense maybe
- qualifications and training are two sides of the same
- 14 coin. They're both designed to equip you to do a job
- that may require certain specialist skills and certain
- 16 knowledge beyond being simply someone that can come in
- 17 with all the right intentions? They both can serve that
- 18 purpose?
- 19 A. Yes, but if I use my own experience -- and that's not as
- 20 a residential worker but as a field social worker --
- I came into it with a formal qualification. It was my
- 22 experiences on the job and everything that I learned
- 23 post that that really equipped me, I think, to be
- 24 hopefully a better than adequate social worker. I think
- 25 the same principles apply.

- 1 Q. I take it that if you get all three -- experience on the
- job, access to more experienced workers, formal
- 3 qualifications and in-house training in a structured
- 4 basis -- you have more chance of getting someone that
- 5 will do the job in a competent and professional manner?
- 6 A. You'd have covered off very, very many variables,
- 7 wouldn't you, and you'd hope that that level of
- 8 investment would give you the product that you're
- 9 looking for, yes.
- 10 Q. And if we're dealing with abuse in the form of what
- might be termed bad practices, you're more likely to
- 12 eliminate or reduce the incidence of such practices by
- 13 a culmination of these methods, of qualifications,
- training, experience on the job, learning, supervision
- and so forth?
- 16 A. You would hope so, yes.
- Q. So they're all there, they're all acting together to try
- 18 and reduce the risk of bad practice and the risk of a
- 19 child being harmed intentionally or unintentionally?
- 20 A. Yes.
- 21 Q. Sexual abuse is a difficult one because it doesn't
- depend on qualifications, training, experience or
- 23 whatever. I think history shows that.
- A. Absolutely.
- 25 Q. So you can differentiate the two, but you have to be

- 1 clear that in some cases there are ways of trying to
- 2 reduce the risk of incidences of abuse, whether intended
- 3 or not?
- A. Which is what I'm sure, when we begin to talk about
- 5 recruitment and selection, we're endeavouring to do
- in that, as well as what we provide for people once
- 7 they're in our employment. Absolutely it's about that.
- 8 Q. And the situation, I take it, is a lot better today than
- 9 it was historically in relation to trying to reduce that
- 10 risk?
- 11 A. Well, we know an awful lot more than, sadly, we knew
- 12 then.
- 13 Q. Just going back to the person applying, you have told us
- 14 the position as regards qualifications. Previous
- 15 experience. Is that essential, desirable, not required?
- 16 A. In those jobs, it would be essential.
- 17 Q. References?
- 18 A. References, yes.
- 19 Q. Essential?
- 20 A. Absolutely essential and covering five years of
- 21 someone's professional work.
- Q. The last five years?
- 23 A. The last five years. Continuous through that period.
- 24 And if there's a gap in that, we'll go longer than that
- in order to get a cover.

- 1 Q. You may recall that Sir Roger thought one perhaps wants
- 2 to delve a bit further into someone's history. Is that
- 3 the code of conduct process you have described that
- 4 would allow that to happen?
- 5 A. The code of conduct will do that, but equally, for
- 6 example, if there is a gap in someone's employment
- 7 history when we're looking at it and it's not referenced
- 8 in their application, for instance -- obviously, people
- 9 have time off for maternity leave, they may go
- 10 travelling, for instance, et cetera, and that's
- 11 perfectly legitimate. But if there's a period in their
- 12 employment history that is not covered then that's
- certainly something that we would explore.
- Q. So far as police and other pre-employment checks are
- 15 concerned, can you tell us what the current practice
- within the organisation is on these matters?
- 17 A. So all posts that have direct contact with children and
- 18 young people have to have an enhanced disclosure prior
- 19 to employment -- an enhanced disclosure prior to
- 20 employment. If on receipt of the disclosure there is
- 21 anything on there of concern, then that requires
- a conversation between the appointing manager, there
- human resources support, and the individual concerned,
- 24 to get an understanding of what the issue that's being
- 25 flagged on the disclosure is about.

- 1 We will then make a risk assessment as to the
- 2 appropriateness or not of continuing the offer of
- 3 employment.
- 4 Q. And the enhanced disclosure process, is that done
- 5 through Disclosure Scotland?
- 6 A. It is.
- 7 Q. Are there other bodies that you would contact to make
- 8 checks, routinely, before employing an individual in
- 9 a residential care worker post?
- 10 A. Those would be the main ones, obviously, because
- 11 Disclosure Scotland would throw up any -- hopefully
- 12 throw up any issues whereby there were reports from
- 13 other organisations into it. If someone is not a UK or
- an EU national, we would be required to take --
- I apologise, I can't remember what the check is exactly
- 16 called. But for instance, somebody coming in who had
- 17 been previously been working in the United States,
- we have to have a clearance from there and similar
- 19 countries before we would offer them employment as well.
- 20 Q. So there is a process for people who are not EU
- 21 nationals, there is some check made of them --
- 22 A. There is.
- 23 Q. -- in their country of origin?
- 24 A. Certainly the country that they have come to this
- country from and were previously working in.

- 1 Q. If they come from another EU state, is there
- 2 a recognised process that's common to the EU?
- 3 A. My apologies, I don't know the answer to that.
- 4 Q. What if someone is an applicant who's lived in another
- 5 part of UK and has only recently come to Scotland and
- 6 applied for the job? How do you check their background
- 7 and any relevant information?
- 8 A. The references will obviously cover that. I think
- 9 Disclosure Scotland and DBS England and Wales and
- 10 similarly Access NI in Northern Ireland, they all speak
- 11 to one another and all have access to one another's
- 12 systems, so there is cross-checking through that.
- 13 Q. What if we have the situation where an applicant for
- 14 employment has changed his or her name, perhaps after
- 15 a conviction, or provides false information about
- themselves in their application? Will the process pick
- 17 that up?
- 18 A. We ask them for previous names or known as, for example,
- 19 so hopefully that enables at least something -- there
- was a second part to your question, sorry.
- 21 Q. Say they provide false information and they don't give
- 22 you their previous name or give you the wrong name.
- 23 A. I haven't got personal experience of that, so I'm making
- 24 a judged -- best judgement answer to your question,
- 25 really. Those issues -- I know there will have been

isolated examples when that has occurred. I don't know
how recently any of those will have been or how
historical they would be.

I think the things I talked about, such as when you read an application form, intuitively if something doesn't stack up, it probably will come out from the application form. The bit about gaps in history, things that don't actually chronologically make sense when you read an application, those are important, but clearly we haven't even come on to the question of how the interviews take place as well and clearly that's another major determinant.

Q. I will come to that.

We have heard evidence about an employee who did, it would appear, at an establishment run by Barnardo's historically provide information that was false in material respects and I think Hugh Mackintosh told us that having discovered that, it was one of the reasons why that person was dismissed by him when he was director. So it does happen and, no doubt, if you want to conceal something you think might affect your chances, that's one way in which it can happen, you give the wrong information.

A. Arguably, yes, and evidentially it has happened in previous times. I think our procedures are now more

- 1 robust than they were some years ago. Absolutely I do.
- I think there are way more checks and balances in it.
- 3 The recruitment and selection process has changed
- 4 dramatically in my 20-odd years in the organisation, let
- 5 alone prior to Barnardo's as well.
- 6 Q. You seem to attach importance to the interview process
- 7 itself. Again, can you tell us how things have moved on
- 8 and what happens now that perhaps makes it a more robust
- 9 part of the process?
- 10 A. Many, if not the majority, of all posts for instance
- 11 have children and young people directly involved in the
- 12 recruitment process, so either sitting as a separate
- facilitated panel -- and children and young people are
- 14 generally more intuitive than many adults in that
- process and get to have a sense of ... if something
- doesn't feel right, they will judge it. They may take
- 17 part as a separate panel or they may be part of the
- formal panel themselves.
- 19 How interviews are structured, particularly
- 20 in relation to residential work, they would be much more
- 21 about investigating how those individuals would manage
- 22 particular scenarios, how would you deal with
- a particular challenging incident with a child or young
- 24 person in that unit? What would your attitude be to
- 25 a particular child or young person exhibiting

- a particular form of behaviour? How would you deal with
- 2 it? What would your techniques be to de-escalating
- 3 a situation, et cetera?
- 4 The interviewing panel would clearly have some
- 5 predetermined competencies, areas they were looking to
- 6 judge around those answers, as well as a sense of the
- 7 values, the ethos, the ethics that person brings into
- 8 the interview process as well.
- 9 Q. So the interview is designed to explore attitudes,
- 10 motivations and how they would deal with being given
- authority and powers over vulnerable groups of
- 12 children --
- 13 A. Yes.
- Q. -- things of that nature? You're trying, through the
- 15 questioning process, to build a picture --
- 16 A. Yes.
- 17 Q. -- for suitability and competence?
- 18 A. Yes, and for us to be given -- we talked about
- 19 evidencing previous experience. So: give us examples
- from your own experience of how you've managed behaviour
- 21 with a certain child or young person? What did you do?
- 22 What did you learn? What might have you have done
- 23 better? Those are the kind of areas we'd be looking to
- 24 explore in an interview.
- 25 Q. These interviews, going back to the project worker

- levels, would that be the process for these posts?
- 2 A. All of them.
- 3 Q. All posts?
- 4 A. Yes.
- 5 Q. It's not just the senior posts that you're introducing
- 6 these --
- 7 A. No.
- 8 Q. -- refinements and processes?
- 9 A. There might be some more things we would do with the senior posts. We might ask people to do a presentation,
- 11 et cetera, but certainly all posts would determine the
- 12 competencies, the values, et cetera, that someone would
- bring into it.
- 14 Q. Is there anything else that we've not covered in the
- 15 recruitment stage that's a key part of the process?
- You've described some of the things that are done.
- 17 A. Yes. I don't think so.
- 18 LADY SMITH: David, can I just raise one thing with you?
- 19 Earlier you talked about previous experience being,
- 20 I think, a non-negotiable so far as Barnardo's are
- 21 concerned. If one, for the moment, assumes that other
- 22 organisations like yours providing residential care of
- various types adopt the same approach, they don't want
- 24 anybody who doesn't have previous experience, where do
- 25 these candidates get their previous experience from?

- 1 A. Through volunteering opportunities. We have
- 2 a significant cohort of volunteers, as you may be aware.
- 3 That's an opportunity. And where people have shown some
- 4 real initiative in getting experience of working with
- 5 children and young people, hopefully that indicates
- a very positive motivation to want to enter into that
- 7 field of work as well, and I think that's often an
- 8 avenue that people have used over a period of time.
- 9 It's often the testing ground, in fact: is this an area
- of work I really feel I want to get into or not?
- 11 LADY SMITH: In Barnardo's, is it a requirement that any
- volunteer is always supervised?
- 13 A. If they are working with children and young people, not
- 14 necessarily -- and I'm saying that in terms of some of
- them, for instance. We talked this morning about
- 16 advocates. We do have volunteer advocates, for
- 17 instance. They wouldn't necessarily be working on an
- 18 unsupervised basis, but they would have had appropriate
- 19 checks done on them, an enhanced disclosure check, for
- instance.
- 21 LADY SMITH: But is it likely that the other types of -- the
- 22 sort of daily work one might do with a child in a care
- 23 home could only be done on a supervised basis if it's
- 24 a volunteer?
- 25 A. It should be, yes.

- 1 LADY SMITH: What I think I'm getting at is: does that then
 2 give you some confidence that they've had prior guidance
 3 in good practice in the course of that experience?
 4 A. An organisation that values volunteers would seek to
 - give them that, so you benefit them. Their volunteer experience is a benefit to us, but equally a benefit to the individual.
- 8 LADY SMITH: And whilst Barnardo's will be interested in its
 9 own volunteers, I take it -- and you don't need to tell
 10 me who -- there are other organisations whose volunteers
 11 you've learned to respect because of the way they are
 12 handled and supervised as well?
- 13 A. Yes, indeed, yes.

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- 14 LADY SMITH: Thank you, that explains the puzzle I had about
 15 where these poor people are going to get their
 16 experience from to get the jobs.
- Mr Peoples.
- 18 MR PEOPLES: Can I go back to complaints again. I'm not 19 going to go back to the process but I'm going back to 20 maybe something before the process. Clearly, something 21 must happen to trigger a process. So to get the process 22 of complaints into play, there has to be a complaint. And that means that a child or young person may have to 23 24 say something to someone that may indicate explicitly or implicitly that they've been ill-treated or abused by 25

- a member of staff, to take one example. That's one
- 2 scenario. Or someone else must have suspicions or
- 3 concerns about the way that child has been treated by
- 4 maybe another member of staff or someone else.
- 5 A. Yes.
- Q. So that's all got to happen to get the processes kicking
- 7 in, does it not?
- 8 A. Yes. I mean, there's accuracy in what you say,
- 9 absolutely.
- 10 Q. So bearing in mind and bearing in mind that we've had
- 11 some evidence that historically it has been difficult
- for children in care settings, perhaps, to say something
- for fear of consequences, and perhaps the same might be
- 14 true of staff, that they have feared the consequences,
- if they were junior staff, of reporting a more senior
- member of staff.
- 17 Bearing these considerations in mind can I just be
- 18 clear: what's done today to try and address that
- 19 possibility so that you have the best chance that either
- the young person will disclose or someone else will say
- 21 something?
- 22 A. So I have talked a few times in terms of my evidence
- about the importance of children and young people being
- 24 listened to in this process in terms of how their
- 25 complaints and allegations are heard. That is about

having a process in place, that's an undeniable and important thing to have, and that children and young people and families are aware of what that process is and are then made aware of that at the point of when they begin their involvement with us. That's a key part of their induction into the organisation.

That's the practical bit of it, I guess. The other bit of it is how you embed a culture, both within the unit and within the organisation, where the voices of children and young people are routinely heard. So within a residential unit, you have something like a vibrant children's council, for want of a better word, advisory group, whatever you call it, that has a voice and is seen to have a voice, that is able to meet not just with the unit staff and unit manager, but with the assistant director, arguably with the national director when he or she visits a unit.

So there's an opportunity for their voices to be heard, both in terms of how they are managed as individuals, as a group, how the service works for them, because children and young people's voices in service is highly -- is extraordinarily helpful as well. Equally, that their voices are heard and actively heard in the review processes, whether they be the formal ones through the looked-after children process or the ones

1 that they have with their individual workers and staff.

The same culture, that is "Your voice will be heard as a staff member if you raise a complaint or concern about an individual" is equally important. That,

I believe, has to be -- that absolutely has to be stated from the most senior part of the organisation downwards.

So the chief executive in our organisation, for example, regularly -- first of all, he's very clearly sighted on safeguarding concerns, he receives a weekly report from myself, for instance. Anything that goes through the SSI process and allegations process we've talked about earlier, he has early sighting of.

But more particularly, that he's giving a -- saying to staff very clearly and very regularly: if you have worries, if you have concerns, it's the right and proper thing to report and you will be heard and you will be taken seriously. It's that culture of openness and transparency.

Q. Just in terms of from the perspective of the children who are coming into care, this is an era of much more specialist provision and much more reduced care provision, but with that comes children perhaps with, as we say, a variety of complex needs and conditions, some with learning disabilities. You say in the statement that one of the things that's done -- I think it's in

- 1 paragraph 367 -- under the complaints procedure at
- 2 page 93 is that a complaints leaflet is given to service
- 3 users --
- 4 A. Yes.
- 5 Q. -- which informs them as to the process.
- 6 A. Yes.
- 7 Q. What happens if the child either lacks the capacity, by
- 8 reason of age or other reasons, to understand the
- 9 information presented in that way? How do they get the
- 10 voice?
- 11 A. So there will be a range of more localised tools
- determined by that particular unit or need. So it may
- be done in pictorial form. We have some examples of it
- 14 being produced in an animated video so the children can
- 15 understand through that. There are various innovative
- 16 ways that people have used, who are way cleverer than me
- in doing this, but they've done it well.
- 18 Q. Are these being used?
- 19 A. Absolutely.
- Q. And I suppose, though, in the case of that type of
- 21 profile of child, particularly those with learning
- 22 difficulties, it is all the more important that staff
- 23 will raise concerns, will report serious safeguarding
- 24 incidents?
- 25 A. Absolutely.

- Q. And that the culture is open and that they feel they'll be listened to and not in any way penalised for saying
- 3 something?
- 4 A. Absolutely. There's strong national evidence that
- 5 disabled children are disadvantaged in safeguarding
- 6 practice. There is a lower level of child protection
- 7 referrals to statutory agencies of disabled children.
- 8 So absolutely, they need to be given their voice and
- 9 equipped to have their voice in the best possible way.
- 10 MR PEOPLES: I think these are all the questions I have for
- 11 you today, David. Thank you very much for coming and
- for assisting us to understand the current practices.
- 13 A. You're very welcome.
- 14 LADY SMITH: Are there any outstanding applications for
- 15 questions? No.
- David, thank you very much indeed, both for your
- 17 contribution to the written paper that Barnardo's have
- 18 prepared for us and for coming today to talk about your
- 19 particular part of that work. It's been really helpful
- 20 to hear from you with the insight you have given us
- about the procedures and policies and your experience.
- 22 So thank you for that and I'm now able to let you go.
- 23 A. Thank you very much.
- 24 (The witness withdrew)
- 25 LADY SMITH: I think that completes today, doesn't it?

1	MR PEOPLES: Yes. We have one witness tomorrow, and that
2	will conclude the evidence for this week.
3	Perhaps I should just say and maybe your Ladyship
4	might want to remind others that we are having one
5	day of evidence next week on this matter. Although,
6	I think, the inquiry is sitting on Monday and Wednesday,
7	we are sitting on Tuesday for this case study, just in
8	case parties were unclear on that.
9	LADY SMITH: That's right. This case study has one witness,
LO	I think, on Tuesday, that's right, but we will be
L1	sitting, starting at 8 o'clock on Monday morning and
L2	8 o'clock on Wednesday morning, all being well, with
L3	some video links for some evidence that will be relevant
L 4	to the child migrant study. For various reasons it
L5	needs to be taken at this stage.
L 6	Meanwhile, we'll look forward to tomorrow and I'll
L7	rise until 10 o'clock then. Thank you.
L 8	(2.38 pm)
L 9	(The inquiry adjourned until 10.00 am
20	on Friday, 18 January 2019)
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1	I N D E X
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5	Questions from MR PEOPLES1
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